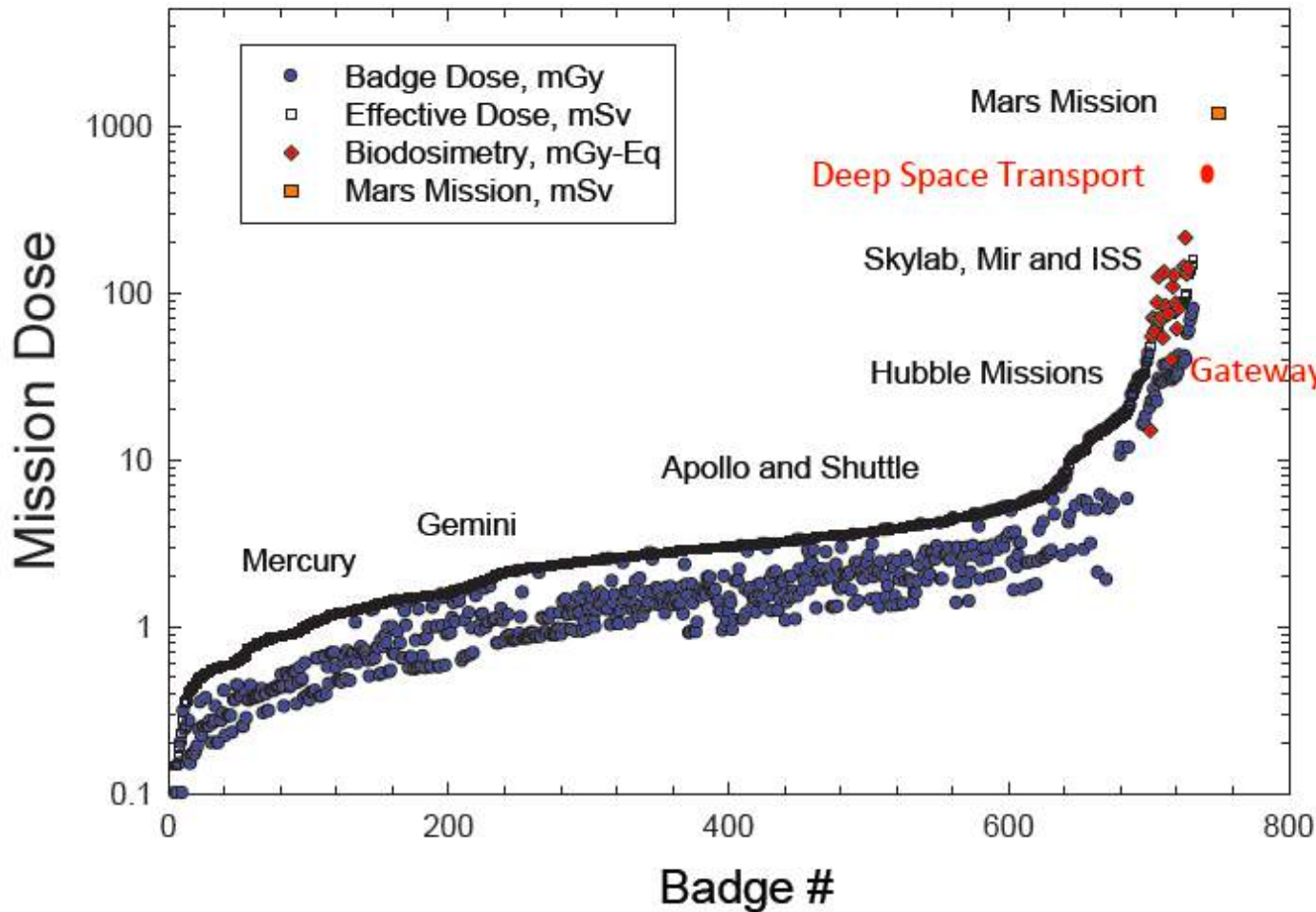


# AMS & Exploration

B. Bertucci,  
University & INFN Perugia

# NASA Crew Mission Doses



## NASA Experience:

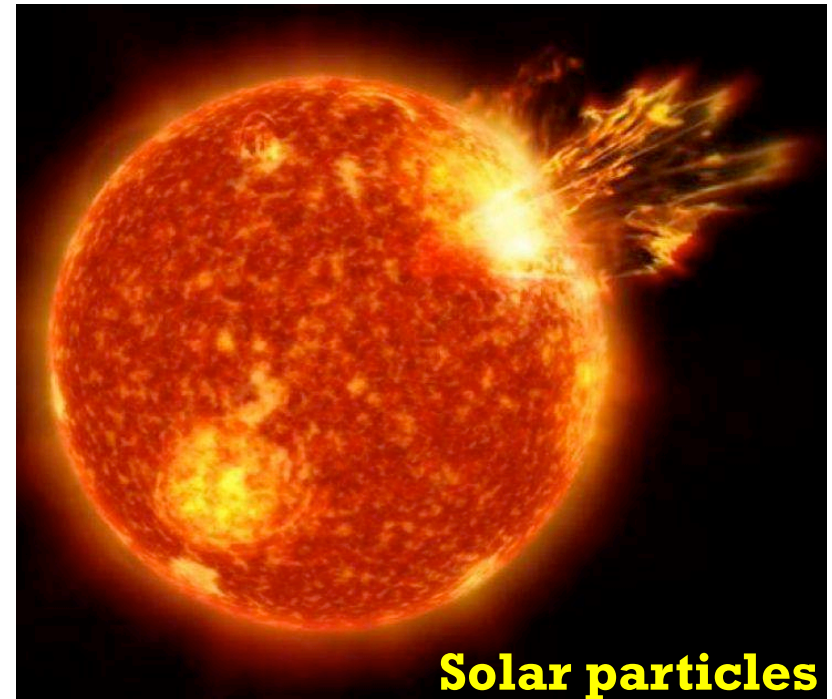
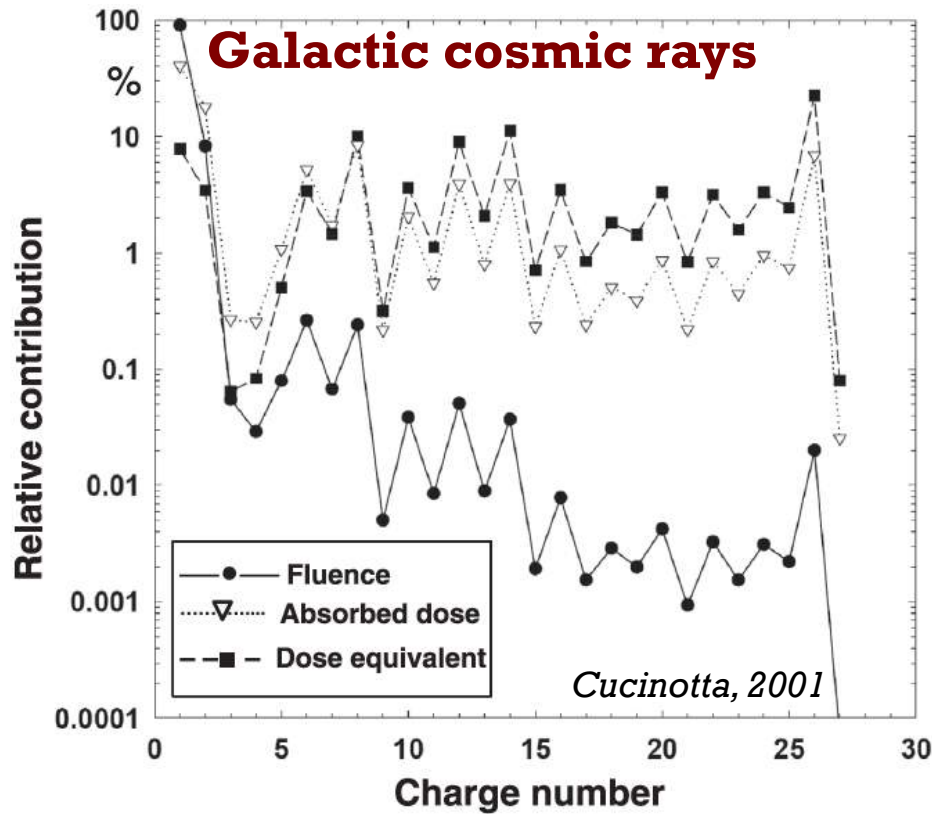
- Single ISS mission approximately 1/10 of Mars mission exposure
- Crew with multiple missions have accumulated 30% of Mars exposure risk

Update from Cucinotta et al. Radiat Res (2008)

21

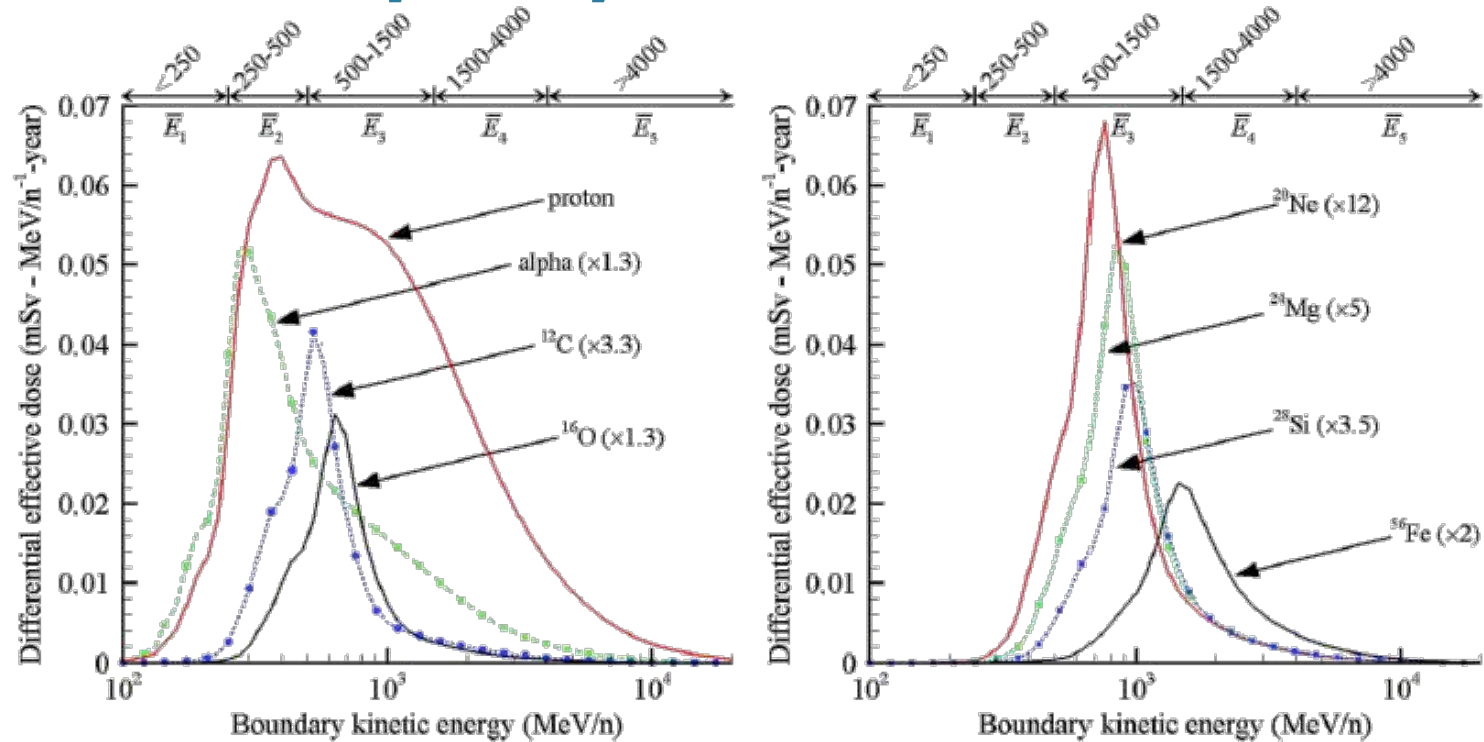
# + Space exploration & radiation

Knowledge of radiation is a key point for planning long term manned missions out of the earth magnetosphere.



Most important source of dose ( $\approx 90$  rem/yr)  
Difficult to shield

# + A sensitivity analysis (Slaba & Blattnig 2014)



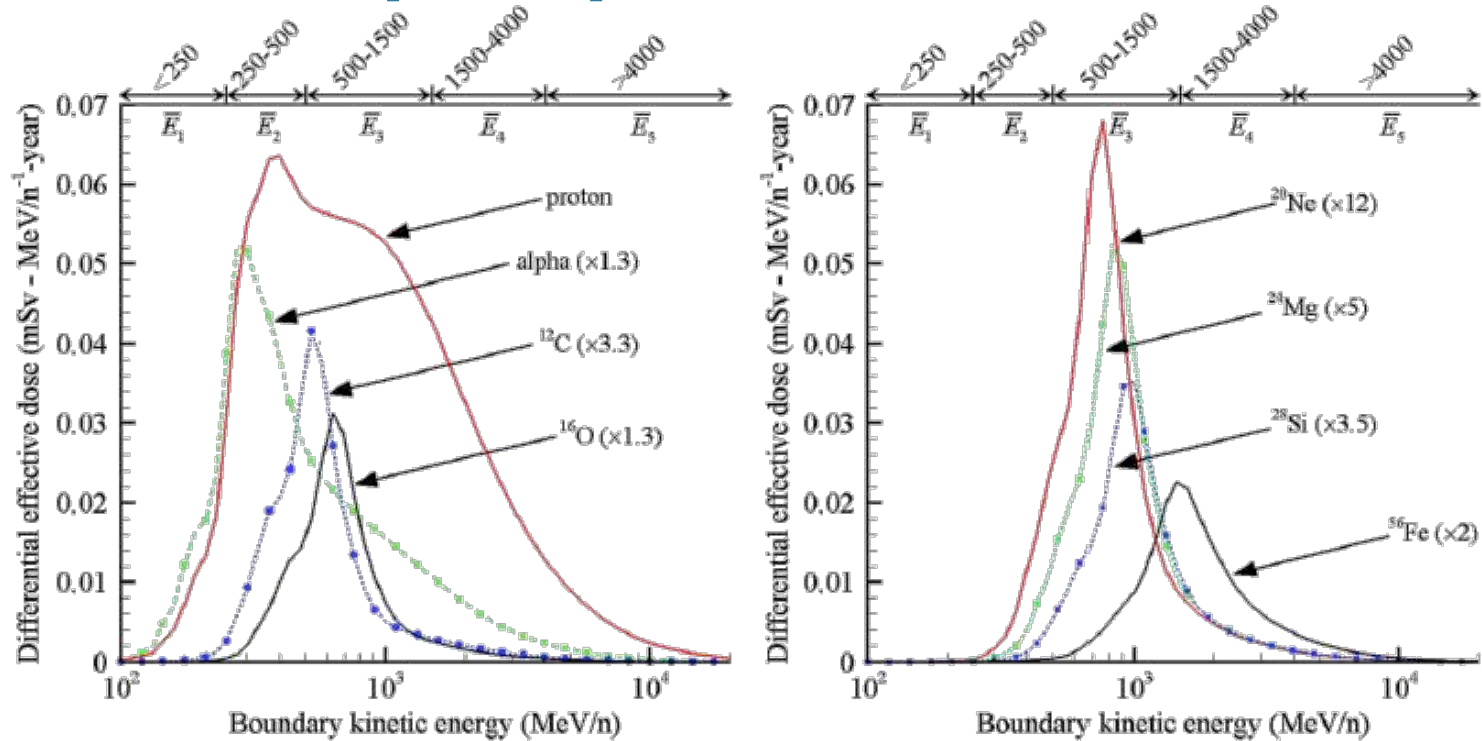
**Table 2.** Relative Contribution (×100) of GCR Boundary Energy and Charge Groups to Effective Dose With 20 g/cm<sup>2</sup> Aluminum Shielding<sup>a</sup>

	$\bar{E}_1$	$\bar{E}_2$	$\bar{E}_3$	$\bar{E}_4$	$\bar{E}_5$	Total
Z=1	1.2	5.4	18.2	18.4	14.8	58.1
Z=2	1.2	2.2	4.1	2.9	1.7	12.2
Z=3–10	0.0	3.3	3.8	1.3	0.8	9.1
Z=11–20	0.0	0.2	6.6	2.0	1.1	10.0
Z=21–28	0.0	0.0	4.7	3.8	2.1	10.6
Totals	2.5	11.1	37.4	28.4	20.5	100.0

<sup>a</sup>A value of 0.0 indicates that the relative contribution is less than 0.1%. The BON2010 GCR model was used for these results during solar minimum conditions. B.Bertucci 10/04/18



# + A sensitivity analysis (Slaba & Blattnig 2014)



**Table 2.** Relative Contribution ( $\times 100$ ) of GCR Boundary Energy and Charge Groups to Effective Dose With  $20 \text{ g/cm}^2$  Aluminum Shielding<sup>a</sup>

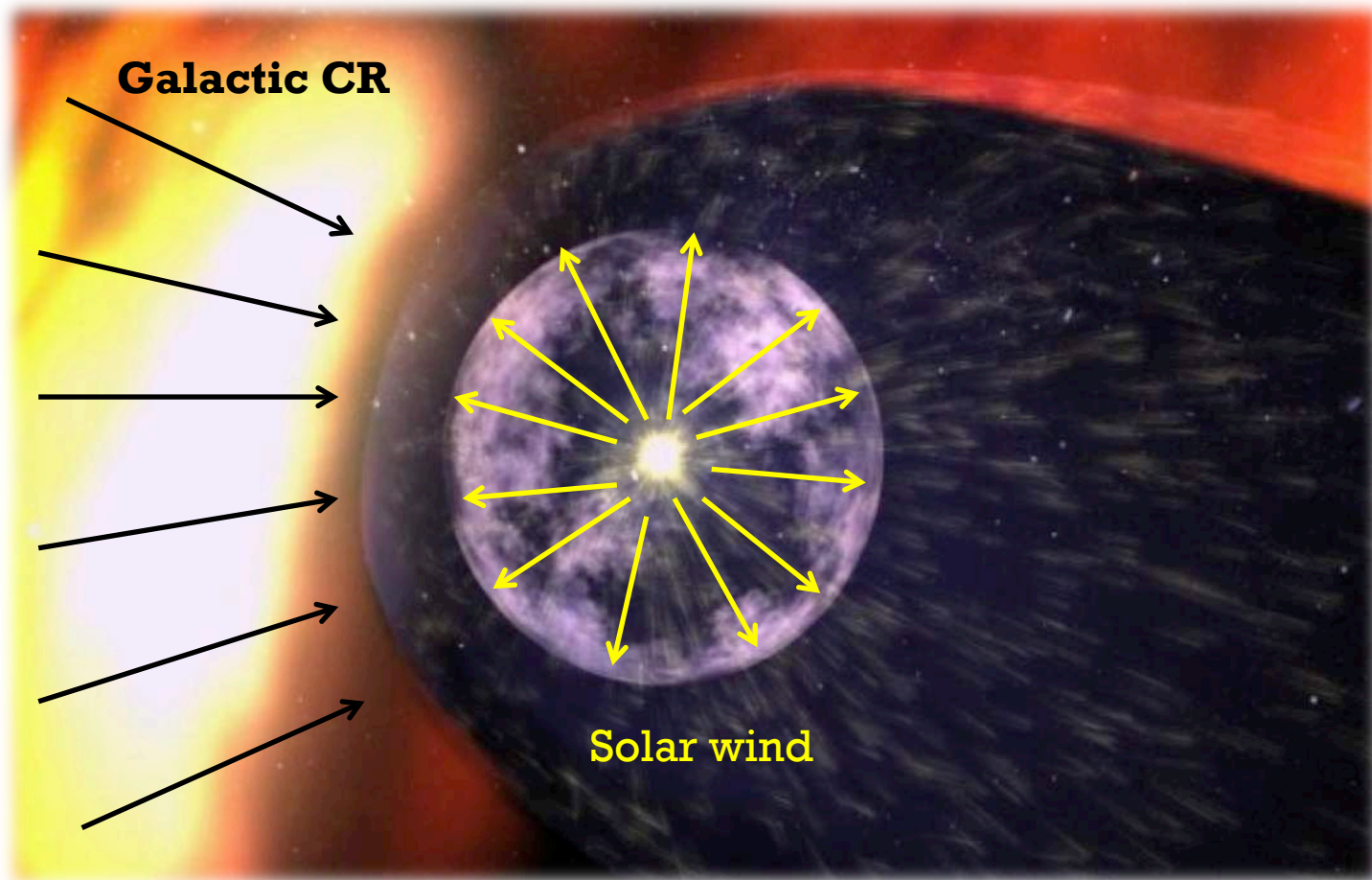
	$\bar{E}_1$	$\bar{E}_2$	$\bar{E}_3$	$\bar{E}_4$	$\bar{E}_5$	Total
Z=						
Z=						
Z=						
Z=						
Z=						
Totals	2.5	11.1	37.4	28.4	20.5	100.0

- ✓ Z>1 contribute with 42 % : ions are relevant
- ✓ bulk of the dose comes from CR with  $E/n > 500 \text{ MeV}$

<sup>a</sup>A value of 0.0 indicates that the relative contribution is less than 0.1%. The BON2010 GCR model was used for these results during solar minimum conditions. B.Bertucci 10/04/18

# + Galactic CR & the Heliosphere

*A turbulent solar wind with an embedded Heliospheric Magnetic Field influence the spectrum of Galactic CR up to several GeVs.*



# + Modeling CR transport in Heliosphere

$$\frac{\partial f}{\partial t} = \underbrace{\nabla \cdot [\mathbf{K} \cdot \nabla f]}_{\text{Diffusion}} - \underbrace{\mathbf{V} \cdot \nabla f}_{\text{Convection}} - \underbrace{\langle \mathbf{v}_D \rangle \cdot \nabla f}_{\text{Particle drift}} + \underbrace{\frac{1}{3} (\nabla \cdot \mathbf{V}) \frac{\partial f}{\partial \ln p}}_{\text{Energy losses}} + \underbrace{Q(r, p, t)}_{\text{Source}}$$

**Flux**   **Diffusion**   **Convection**   **Particle drift**   **Energy losses**   **Source**

*Parker Equation*



# + Modeling CR transport in Heliosphere

$$\frac{\partial f}{\partial t} = \underbrace{\nabla \cdot [\mathbf{K} \cdot \nabla f]}_{\text{Diffusion}} - \underbrace{\mathbf{V} \cdot \nabla f}_{\text{Convection}} - \underbrace{\langle \mathbf{v}_D \rangle \cdot \nabla f}_{\text{Particle drift}} + \underbrace{\frac{1}{3} (\nabla \cdot \mathbf{V}) \frac{\partial f}{\partial \ln p}}_{\text{Energy losses}} + \underbrace{Q(r, p, t)}_{\text{Source}}$$

**Flux**      **Diffusion**      **Convection**      **Particle drift**      **Energy losses**      **Source**

Small Scale  
Magnetic Field  
irregularities

Large Scale structure of  
magnetic field  
(gradients & curvature)

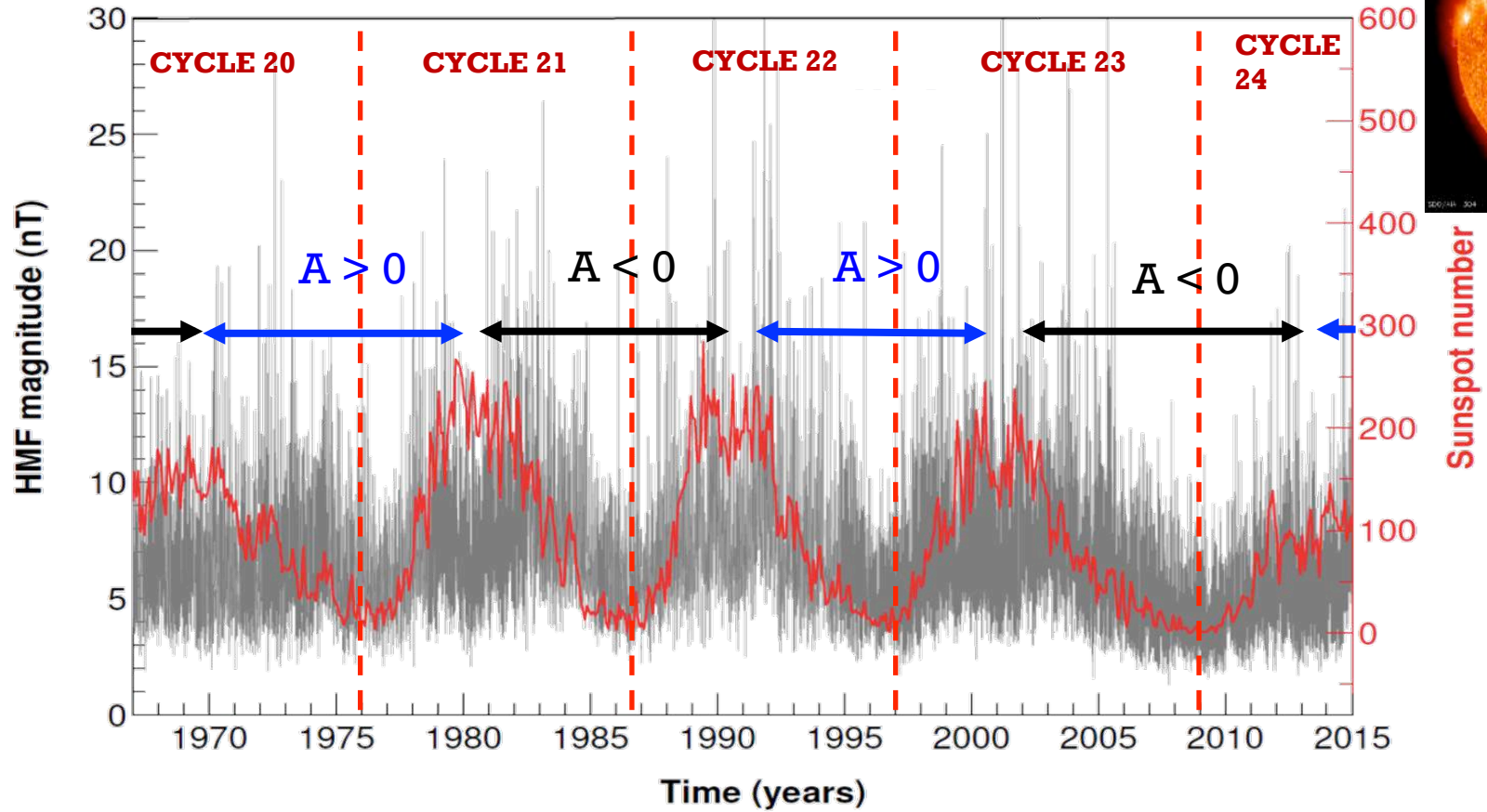
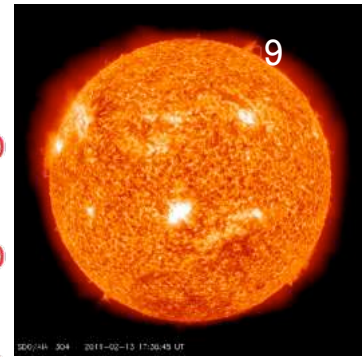
Effect of the solar wind  
moving out from the Sun

Adiabatic  
expansion of the  
solar wind

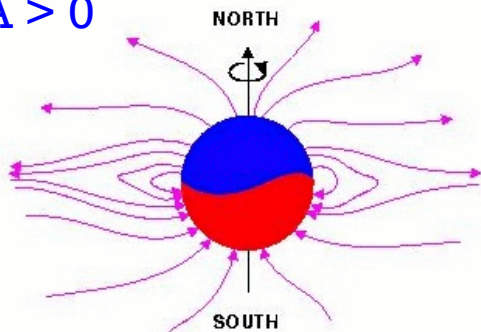
GCR  
particles



# + Continuous solar changing conditions

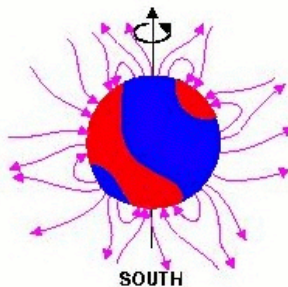


$A > 0$



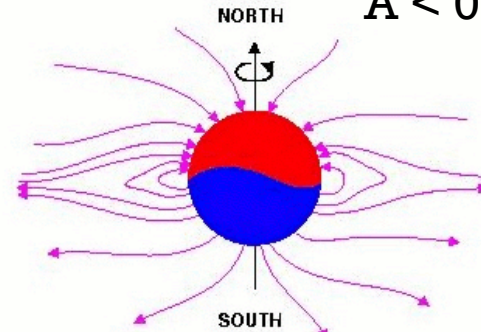
B.Bert

NORTH



SOUTH

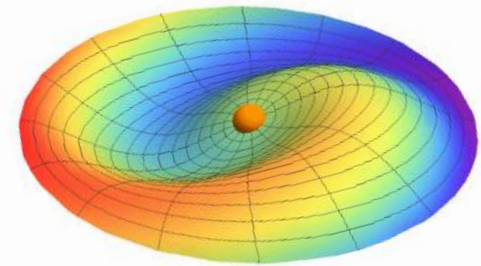
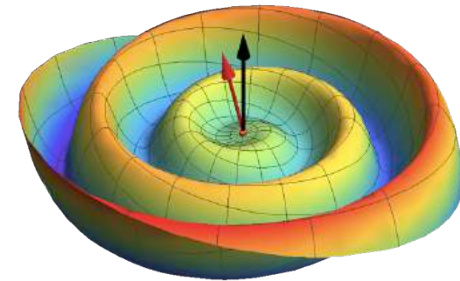
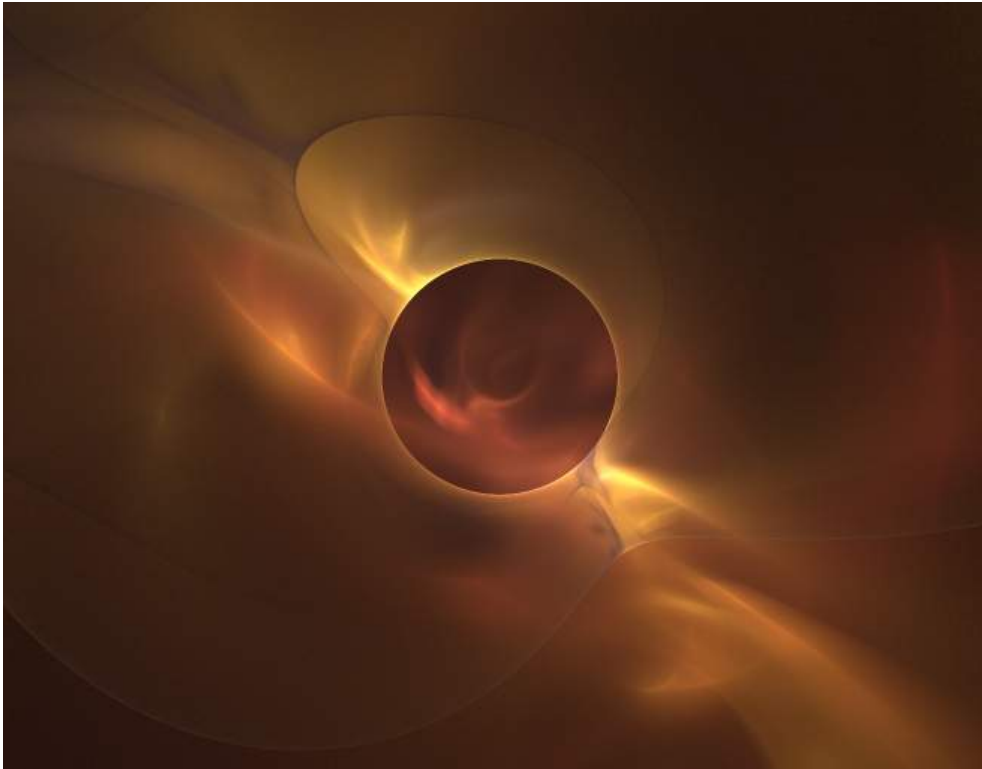
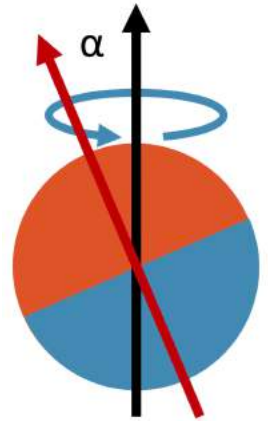
$A < 0$



SOUTH

# + A complex magnetic field

- Latitude dependence and Archimedean spiral due to sun rotation
- Intensity / Polarity changing in time : undefined in solar max
- A wavy neutral current sheet changing with tilt angle



# + A plethora of approaches/methods

## **Space Agencies:**

### **forecasting for mission planning**

*effective parametric models driven by observations relating model parameters to solar activity indicators.*

## **CR / DM :**

### **retrieving the LIS spectrum**

*effective models to unveil the LIS-GCR spectra. Minimal parameters, minimal computing time. Basic physics insights on the modulation process*

## **Solar :**

### **understanding the Heliosphere**

*all models are solution of the Parker equation, different approaches and focus studies on dedicated aspects of the problem*



# + A plethora of approaches/methods

## Space Agencies:

### forecasting for mission planning

effective parametric models driven by observations relating solar activity indicators



from I. Moskalenko talk

## GALPROP/HelMod

- ✧ Goal #1: reliable local interstellar spectra of all CR species ( $>100$  MeV/n)
- ✧ Goal #2: reliable heliospheric modulation for an arbitrary epoch in the past
- ✧ GALPROP/HelMod
  - ✦ Boschini, et al., ApJ 840 (2017) 115 ( $p$ , He,  $\bar{p}$ )
  - ✦ --- ApJ 854 (2018) 94 ( $e^-$ )
  - ✦ --- ApJ 2018, in press (He, C, O)
  - ✦ --- ApJ 2018, in preparation



## CR / DM :

### retrieving the LIS

effective models to understand CR spectra. Minimal parameters, fast computing time. Basic understanding of the modulation process

## Solar :

### understanding the

all models are solutions of the transport equation, different approaches and focus studies on dedicated aspects of the problem



# + A plethora of approaches/methods

## Space Agencies:

### forecasting for mission planning

effective parametric models driven by observations relating model parameters to solar activity indicators.



## **Analytical solutions:**

- only convection (CDA)
- Force-Field Approximation (FFA) + modified FFA
- 1D analytical

## **Parametric models:**

- universal LIS shape
- FFA inspired modulation parameters as a function of SSN + time-lag

## CR / DM :

### retrieving the LIS spectrum

effective models to unveil the LIS-GCR spectra. Minimal parameters, minimal computing time. Basic physics insights on the modulation process

## Solar :

### understanding the Heliosphere

all models are solution of the Parker equation, different approaches and focus studies on dedicated aspects of the problem

## **Numerical integration:**

- 1D: radial-dependent features
- 2D/3D : drift effects, polar dependences, asymmetries.

## **Stochastic random-walk:**

- MC propagation of a large number of pseudo-particle trajectories
- 2D/3D
- steady state / time-dependent

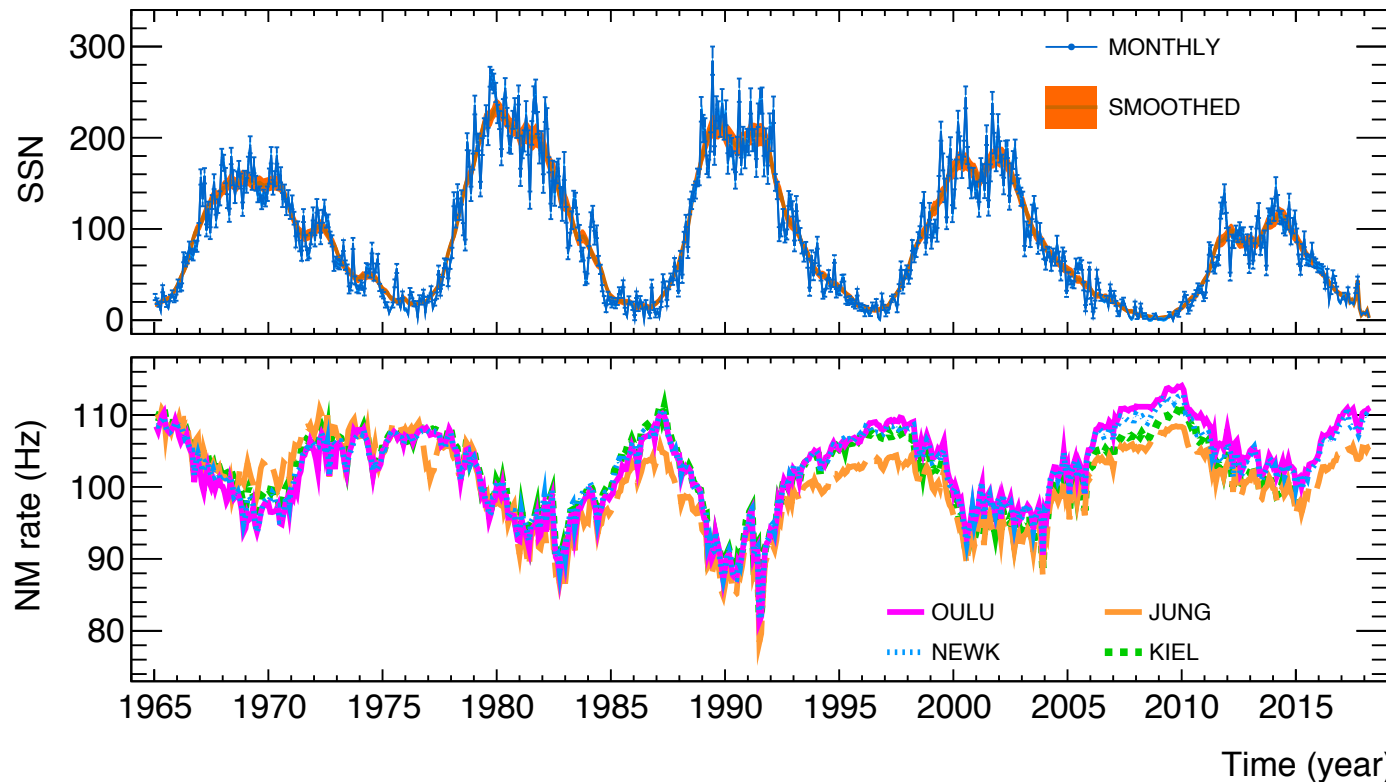
# + Fitting the models? (Input/Test on CR data)

Global trends studied by Neutron Monitors:

- inexpensive : many NM & long term measurements

**BUT**

- indirect: no info on different components or energy spectrum
- different “normalizations” depending on the geomagnetic cutoff



## + Fitting the models? (Input/Test on CR data)

Global trends studied by Neutron Monitors:

- inexpensive : many NM & long term measurements

**BUT**

- indirect: no info on different components or energy spectrum
- different “normalizations” depending on the geomagnetic cutoff

## **Direct measurements?**

Long term measurements of  $Z=1,2,>2$  by different probes (ACE, PIONEER, VOYAGER): different nuclei but limited to low energies ...

Higher energies not continuously monitored, PAMELA (2006-2016) only p/e fluxes...

....

**Only AMS can provide continuous measurements of different CR species above GeV**

# + AMS & new opportunities for modeling GCR in the heliosphere

- Refinement of Local Interstellar Spectra
- Continuous & accurate measurement of time dependent structures:
  - ✓ in a wide energy range
  - ✓ for different ions
  - ✓ for positive and negative CR components +/- particles

Better understanding of Heliospheric effects on GCR  
→ increase sensitivity to signals for fundamental physics research

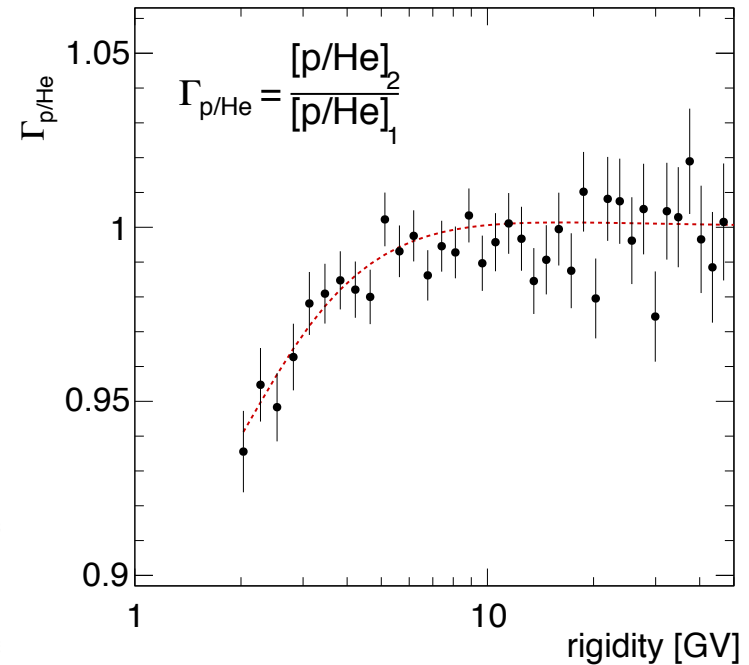
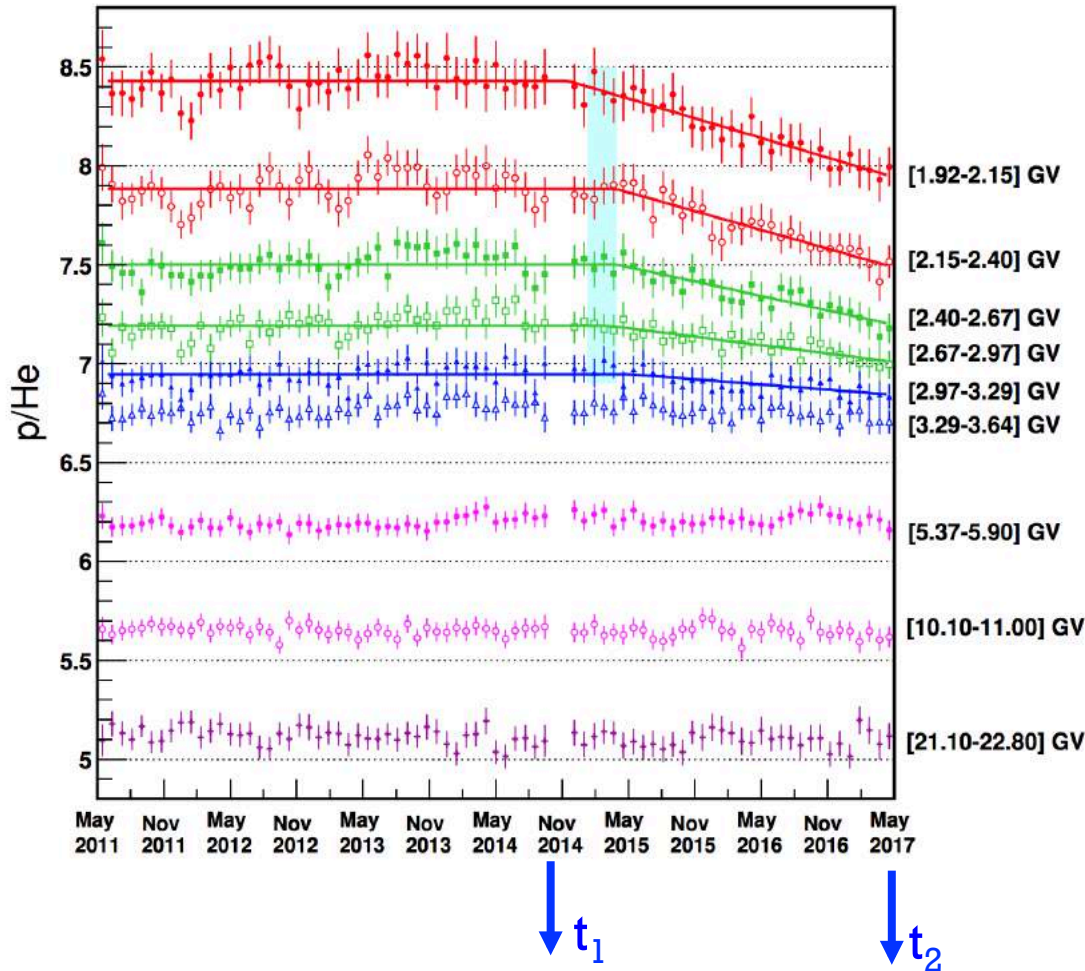


Better tuning of parametric models → increase reliability and predictive power



# + p/He modulation in the light of AMS-02

→ A clear trend of the p/He flux ratio as a function of rigidity



Why ?

# + 1D numerical approach (Pg-Lisbon)

$$\frac{\partial f}{\partial t} = \nabla \cdot [\mathbf{K} \cdot \nabla f] - \mathbf{V} \cdot \nabla f - \langle \mathbf{v}_D \rangle \cdot \nabla f + \frac{1}{3} (\nabla \cdot \mathbf{V}) \frac{\partial f}{\partial \ln p} + Q(r, p, t)$$

Some simplifications:

+ steady state solution @ given time

+ Radial & homogeneous diffusion. No drift.

$$k \frac{\partial^2 f}{\partial r^2} + \left( -V + \frac{2k}{r} + \frac{\partial k}{\partial r} \right) \frac{\partial f}{\partial r} + \left( \frac{2V}{3r} + \frac{1}{3} \frac{\partial V}{\partial r} \right) \frac{\partial f}{\partial \ln p} = 0$$

Focus on the diffusion parameter:

$\mathbf{K}(R) = (\mathbf{v}/3) \lambda (R)$        $\lambda (R) =$  universal “composition-blind” mean free path

→ assume a dependence on the particle  $\beta$

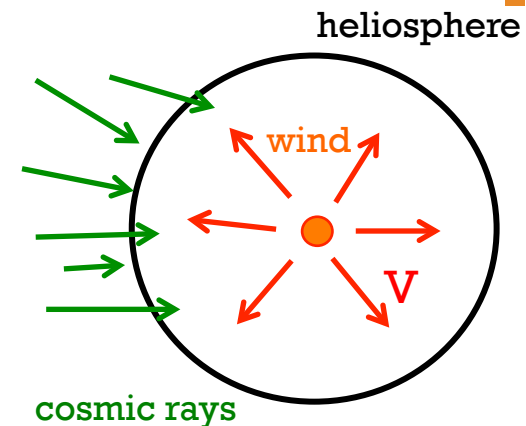
→ assume a dependence on  $R$

→ time dependence and normalization of the coefficient included in  $k_0(t)$

$$\mathbf{K}(R, t) = \beta \times k_0(t) \times R$$

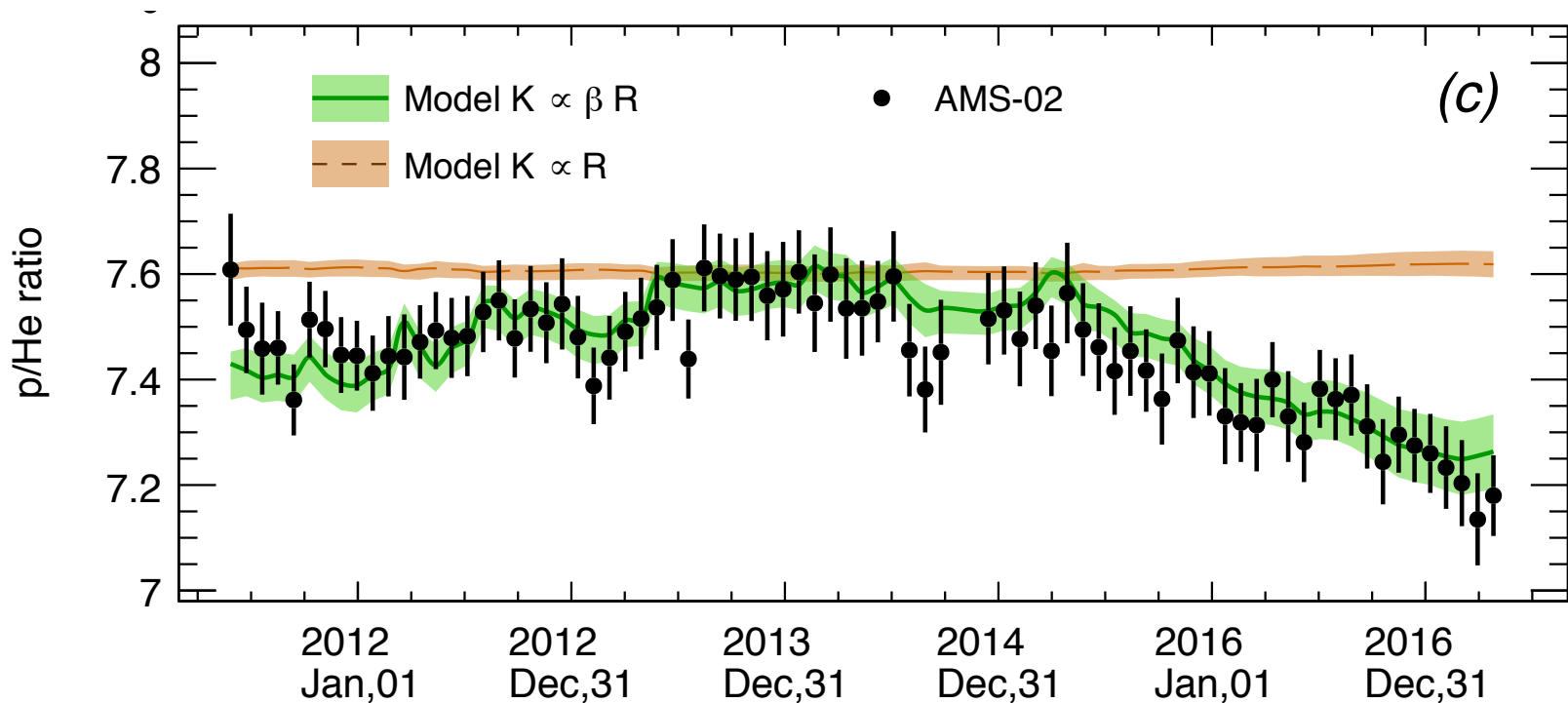
Solve Parker equation numerically & retrieve  $k_0(t)$  from the fit to proton fluxes

Use the same  $k_0(t)$  to predict He fluxes



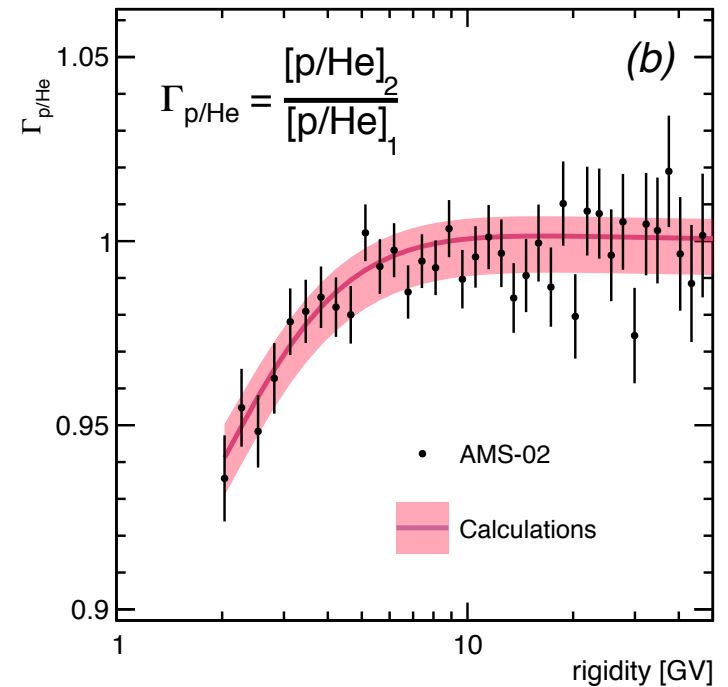
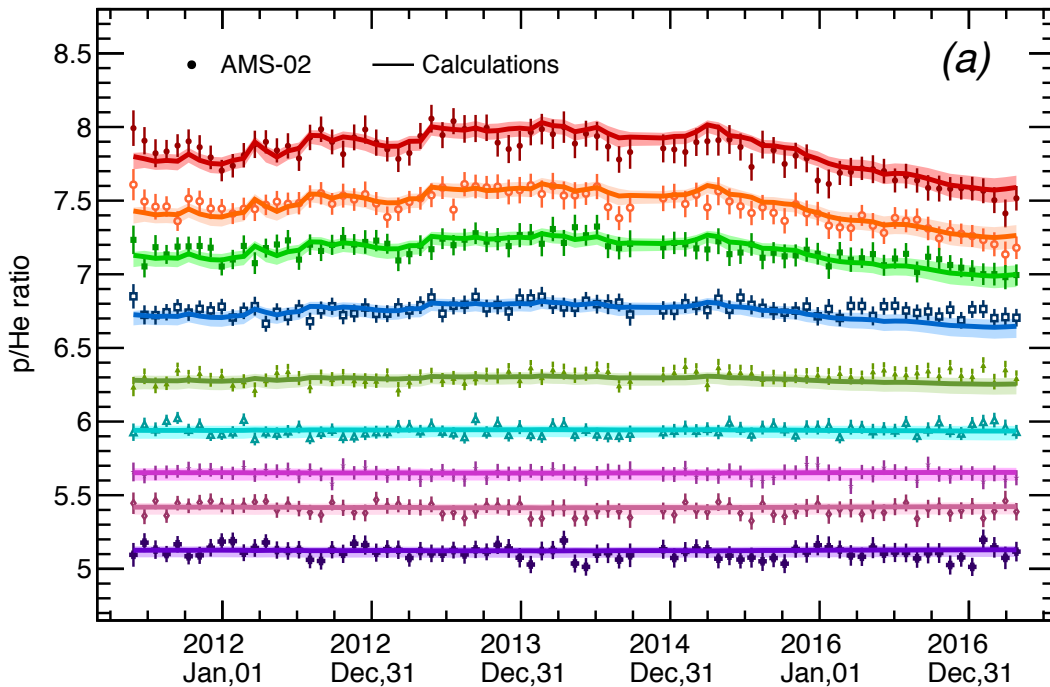
# + Comparison to AMS-02 data

- Different p-He LIS and their uncertainties accounted
- Isotopic composition accounted
- Tested different expressions for the diffusion coefficients





# p/He modulation

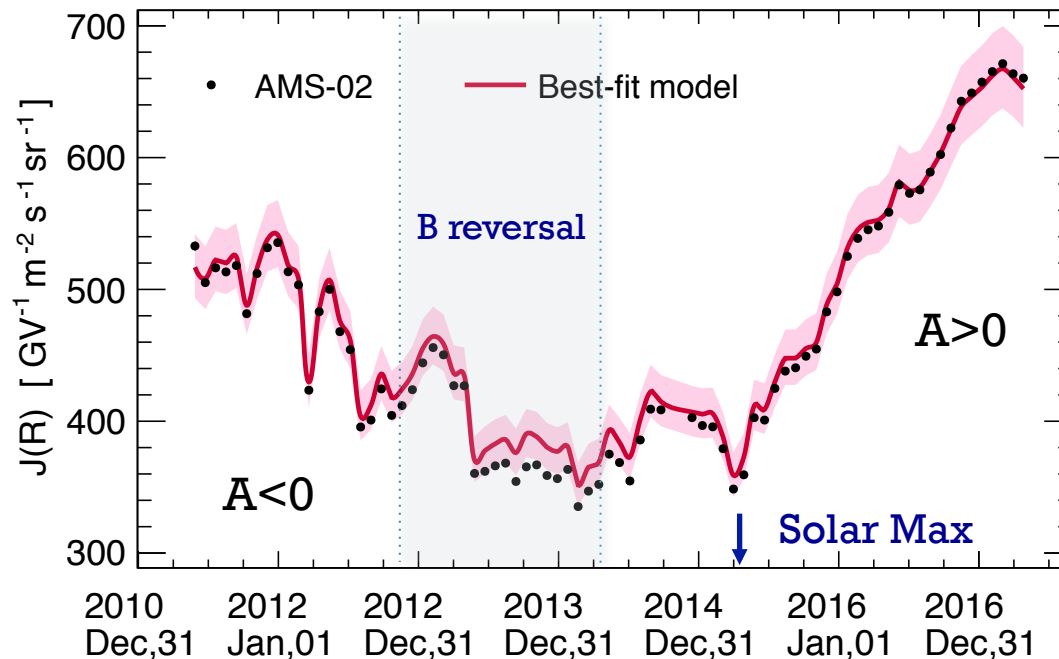


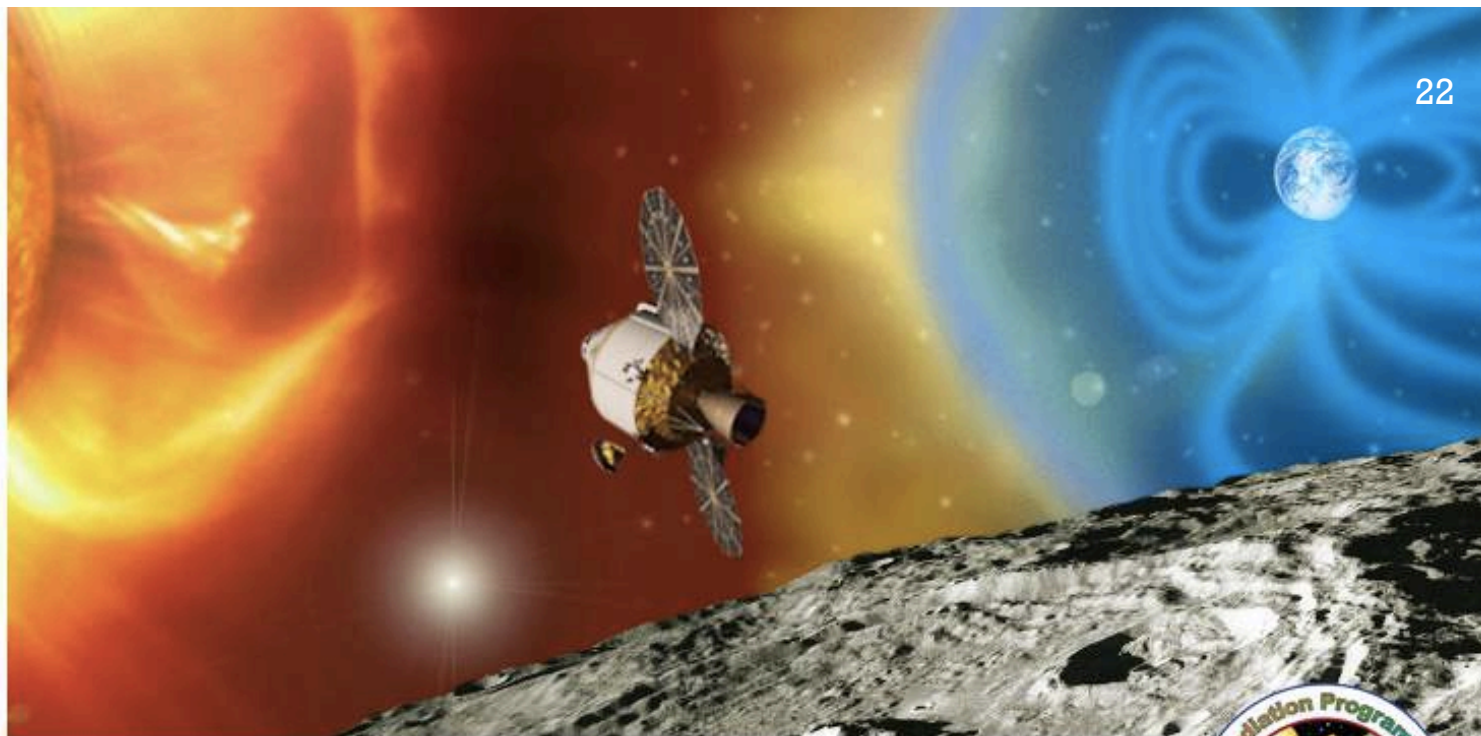
The p/He long-term structure is a signature of the universality of the CR mean free paths  $\lambda$  (R)



# + Conclusion 1)

- Simple 1D models can give insight to specific features in the solar modulation effects
  - cannot be used for forecasting → time dependence on solar parameters effectively accounted from fit to reference data
  - cannot account for more complex effects arising from change of B field polarity → i.e. drift effects





Following the NASA-STD-7009 prescription

## **OLTARIS** On-Line Tool for the Assessment of Radiation In Space



### 2.3 References

1. ? [NASA Standard 7009](#)
2. ? [20 21 22 23 24 25 26 27](#) P. M. O'Neill et al., Badhwar-O'Neill Galactic Cosmic Ray Model Update Based on Advanced Composition Explorer (ACE) Energy Spectra from 1977 to Present, *Advances in Space Research* 37 (2006) 1727-1733.
3. ? , F.F. Badavi et al., [A Dynamic/Anisotropic Low Earth Orbit \(LEO\) Ionizing Radiation Model?](#), NASA-TP-2006-214533, 2006.

### Newest Features

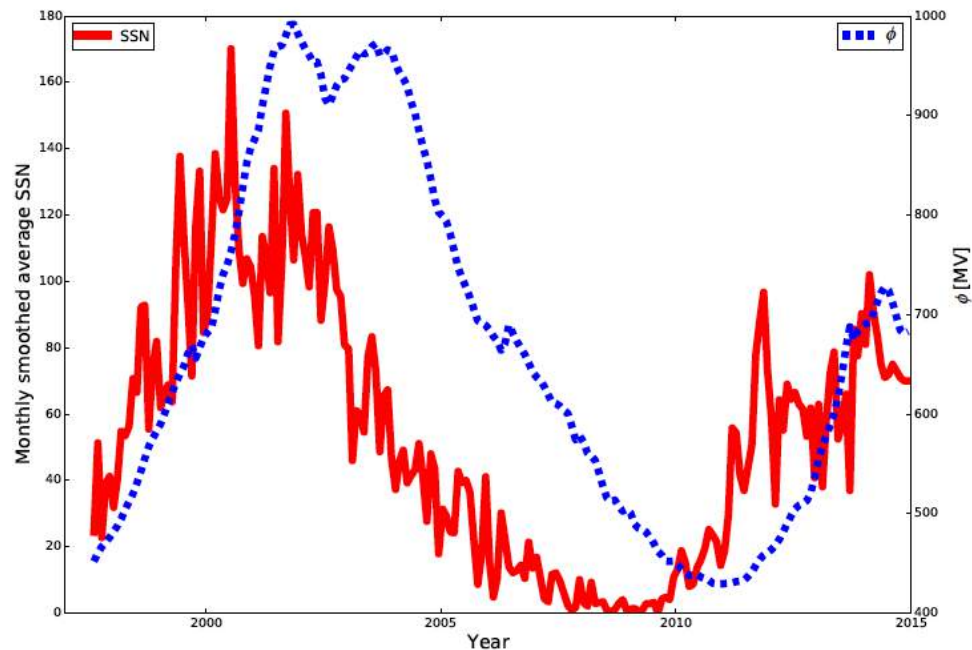
- Added NASA-Q quality factors for dose equivalent response and NASA tissue weighting factors for effective dose calculation.
- Added gray equivalent response. Computes the PEL (Permissible Exposure Limit) quantities for Lens, Skin, BFO, CNS (Hippocampus), and CNS (Z>10) (Hippocampus).
- ~~B. Badavi~~ Added **Badhwar-O'Neill 2014 GCR model**.

# + e.g. solar modulation in BON-2014

Time dependence of the diffusion in the heliosphere described by the same decelerating potential  $\phi$  (aka solar modulation parameter) for all species.

Fit to different data sets using as input the Sun Spot Number + time delay

Flux predictions based on the effective relation between  $\phi$  and SSN



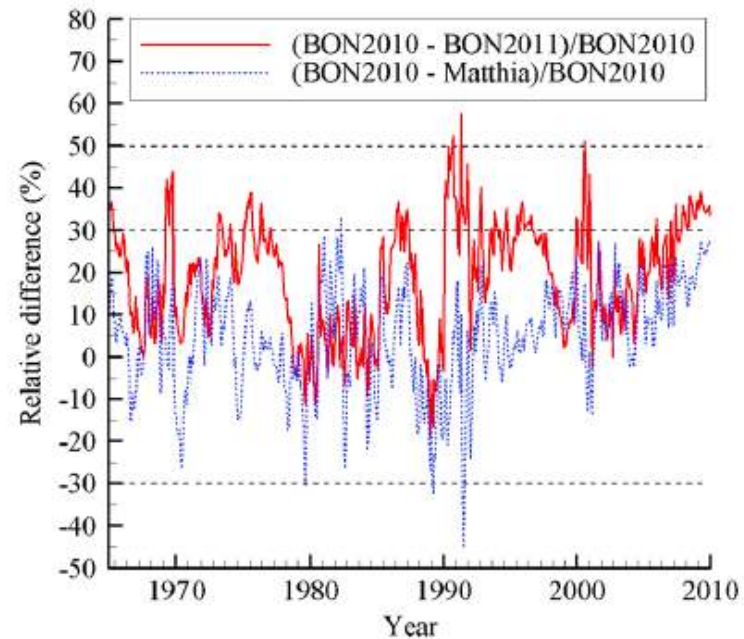
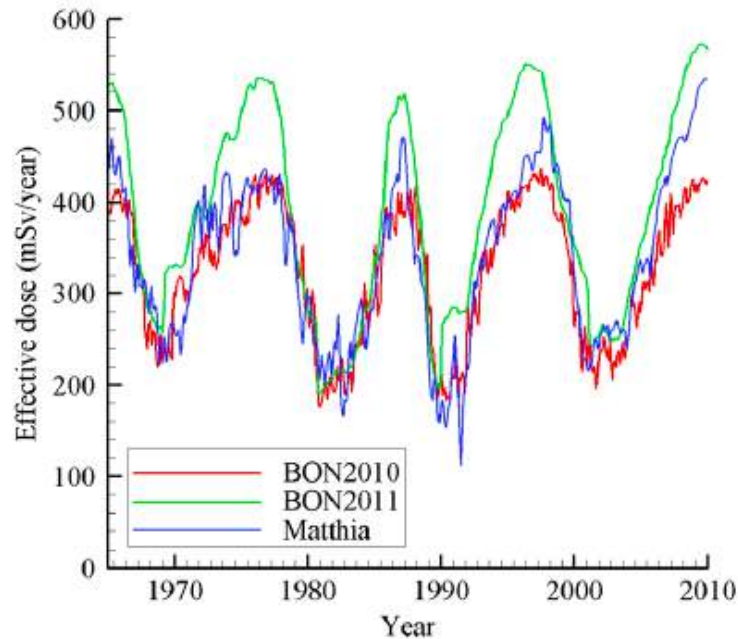
# + Input experimental data

e.g. Data sets in parametric BON-2014 model

Name	Flight	Time	Ions (Z)	Energy [GeV/n]
ACE/CRIS [18]	Satellite	1998 - Present	5 - 28	0.05 - 0.5
AMS [19,20]	STS-91	1998	1, 2	0.1 - 200.0
ATIC-2 [21]	Balloon	2002	1 - 26 <sup>†</sup>	4.6 - 10.0
BESS [22]	Balloon	1997 - 2000, 2002	1, 2	0.2 - 22.0
CAPRICE [23,24]	Balloon	1994, 1998	1, 2	0.15 - 350.0
CREAM-II [25]	Balloon	2005	6 - 26 <sup>†</sup>	18.0 - 10.0
HEAO-3 [26]	Satellite	1979	4 - 28	0.62 - 35.0
IMAX [27]	Balloon	1992	1, 2	0.18 - 208.0
IMP-8 [28]	Satellite	1974	6 - 14 <sup>†</sup>	0.05 - 1.0
LEAP [29]	Balloon	1987	1, 2	0.18 - 80.0
MASS [30]	Balloon	1991	1, 2	1.6 - 100.0
PAMELA [31,32]	Satellite	2006 - 2009	1, 2	0.08 - 10.0
TRACER [33]	Balloon	2003	8 - 26 <sup>†</sup>	0.8 - 10.0
Lezniak et al. [34]	Balloon	1974	4 - 26 <sup>†</sup>	0.35 - 52.0
Minagawa et al. [35]	Balloon	1975	26, 28	1.3 - 10.0
Muller et al. [36]	STS-51	1985	6 - 14 <sup>†</sup>	50.0 - 10.0
Simon et al. [37]	Balloon	1976	5 - 8	2.5 - 10.0

<sup>†</sup> Not all ion data are available in the provided range.

# + Accuracy vs data sets *(Slaba & Blattnig 2014)*



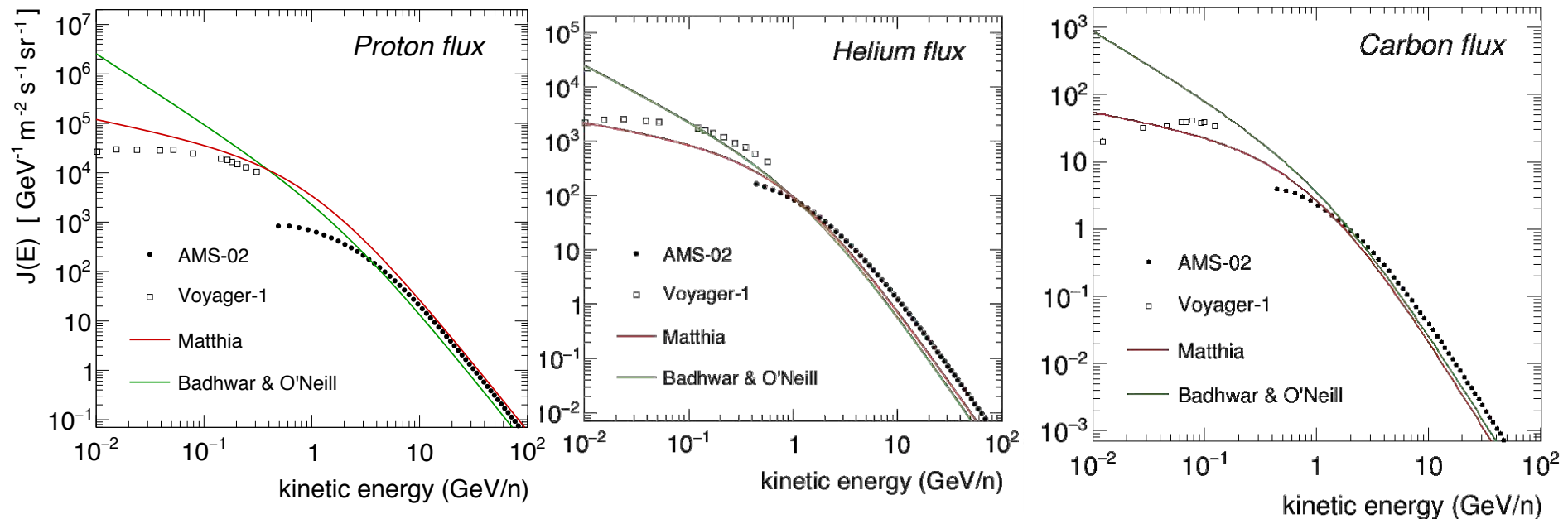
Most of the tuning with low energy (ACE/CRIS) data sets:  
 30-50% discrepancy when comparing different models over the full spectrum.



# + Input LIS: H, He, C

## NASA & DLR radiation models:

- **BON model:** O'Neill et al., NASA/TP-2015-218569 (2015)
- **Matthia model:** Matthia et al. Adv. Space Res. 51, 329-338 (2013)

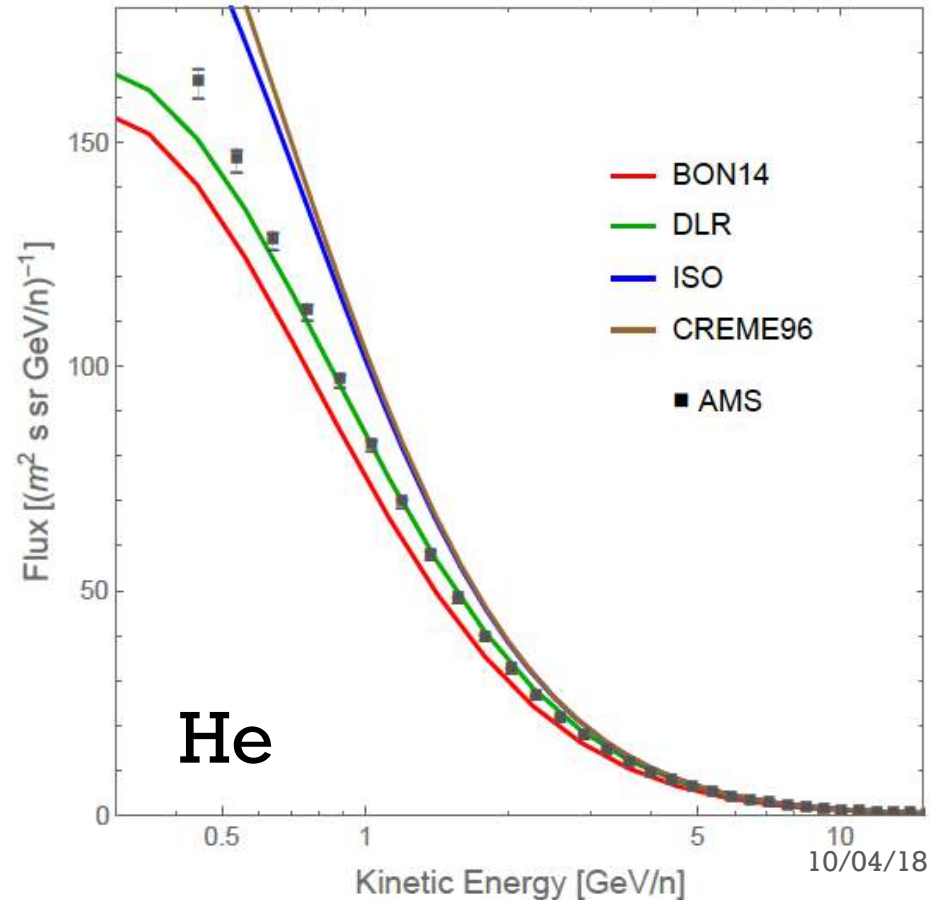
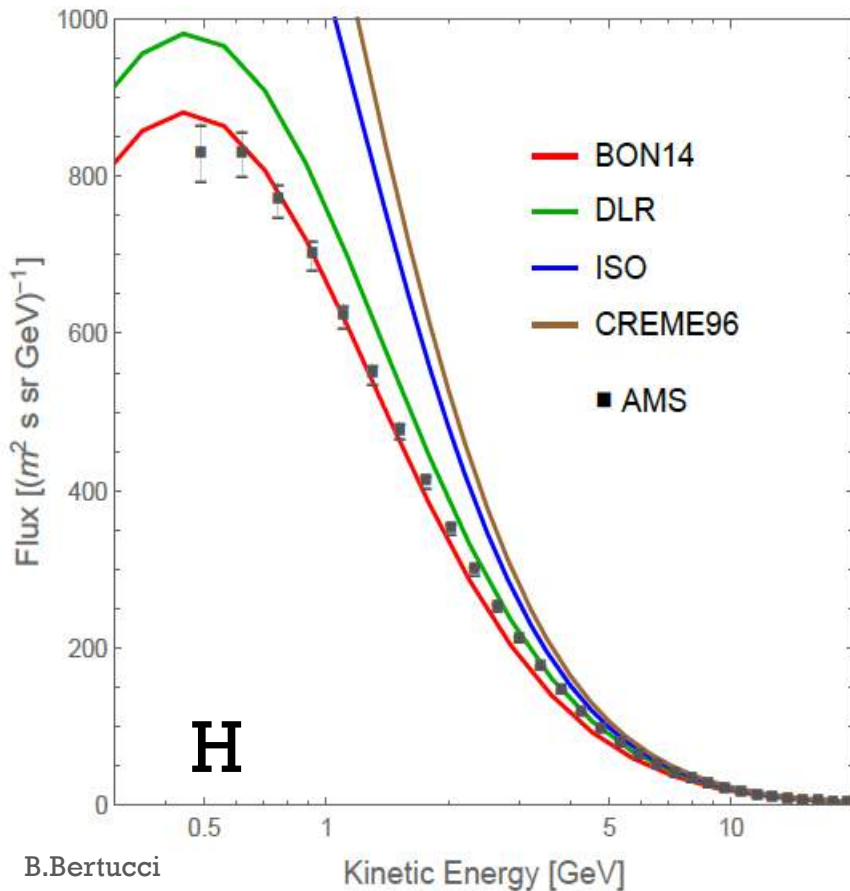


## Data :

- ✓ **AMS-02** from Aguilar et al. Phys. Rev. Lett. 119 (2017) 251101 [in the heliosphere]
- ✓ **Voyager-1** from Cummings et al. ApJ 831, 18 (2016) [in the interstellar space]

# + Models compared to data (*J.Norbury 2016*)

- **BON model:** O'Neill et al., NASA/TP-2015-218569 (2015)
- **Matthia model:** Matthia et al. Adv. Space Res. 51, 329-338 (2013)
- **ISO:** <https://www.iso.org/standard/37095.html>
- **CREME96:** <https://creme.isde.vanderbilt.edu/>





## Conclusion 2)

- The parametric models used for dose evaluation are the most sensitive to the availability of new set of data, expected improvements from AMS:
  - Different input spectra (maybe from FFA fits?) for different species
  - Continuous time dependent data series for global fit of model parameters
  - different parameters ?

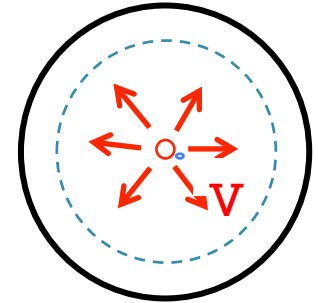
# + Forecast & time lag

It takes few months to propagate the magnetic properties of the Sun in the Heliosphere: modulation of CR is delayed of a DT.

Typical speed  $V \sim 400-700 \text{ km/s}$   
Typical size  $d \sim 100-120 \text{ AU}$



$\Delta T \sim 6-12 \text{ months}$



*Relating time delay effects to solar observables can be used to forecast CR intensity.*

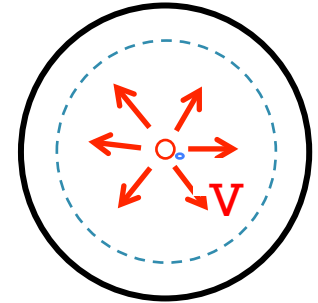
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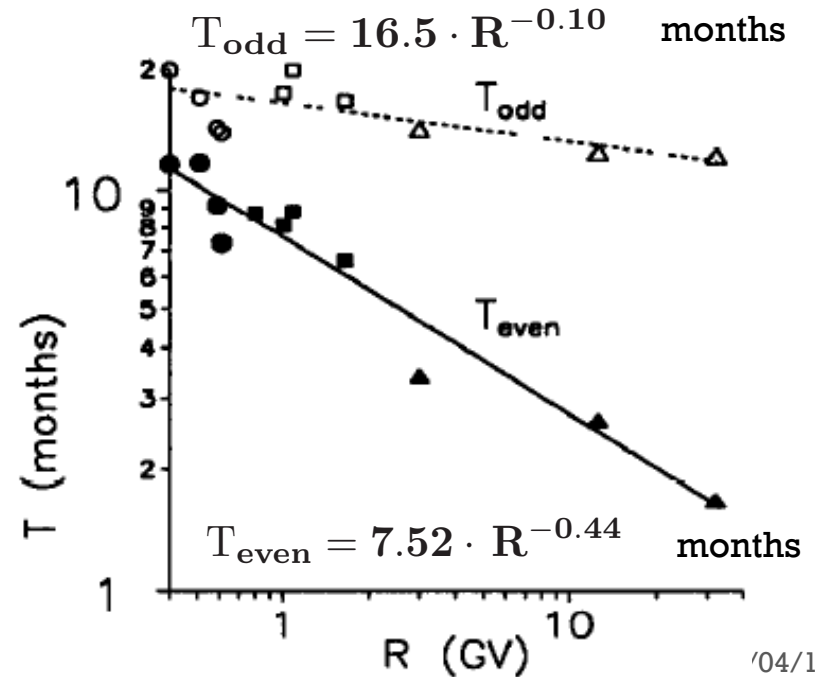


*Relating time delay effects to solar observables can be used to forecast CR intensity.*

Known effect since the 60s (Dorman).  
Use of NM & Balloon data to study time-lag as a function of the SSN (Nymmick 2000)

- dependence on the cycle
  - even/odd, min/max SSN
- dependence on the rigidity

*used in: ISO model, BON 14 model*

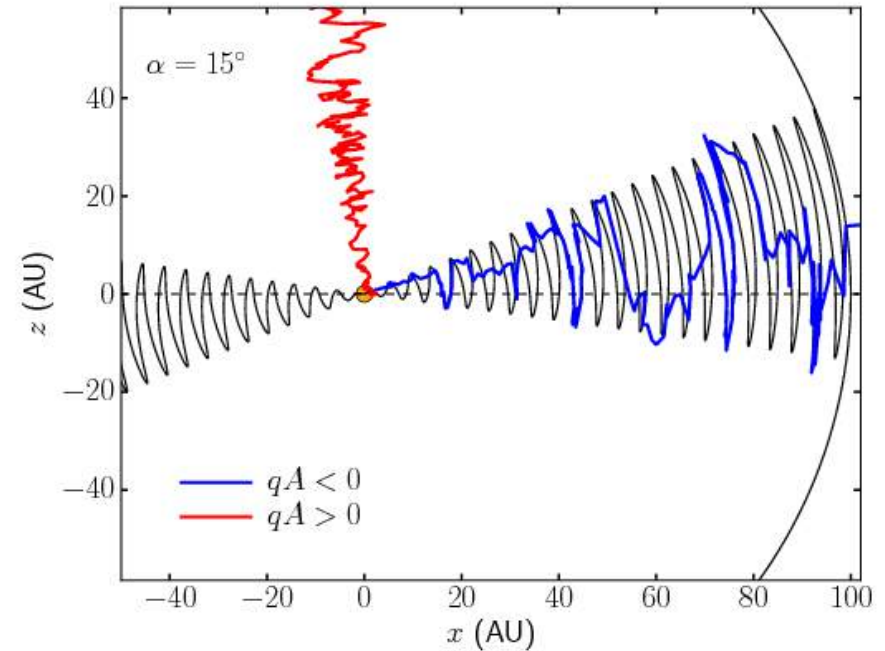
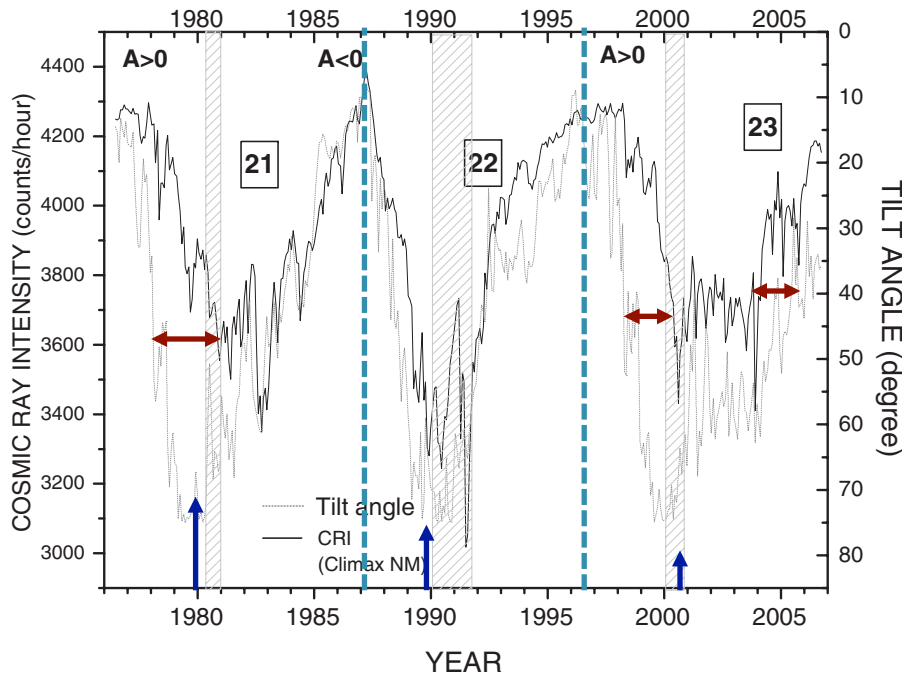




# + Time lag wrt tilt angle

Time lag of CR count rates are also related to the dynamics of transport for positive charged particles for different polarities of the B field

*Badruddin et al., A&A 466, 697-704 (2007)*



Cholis, Hooper, Linden, PRD 93, 043016 (2016)

Understanding drift effects :

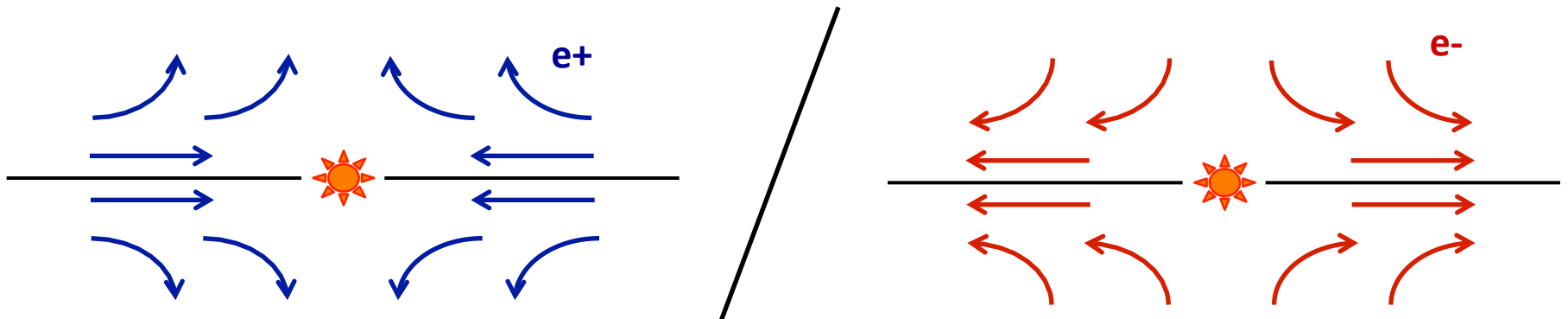
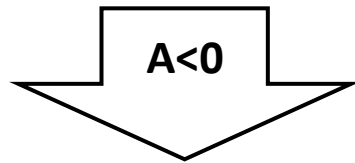
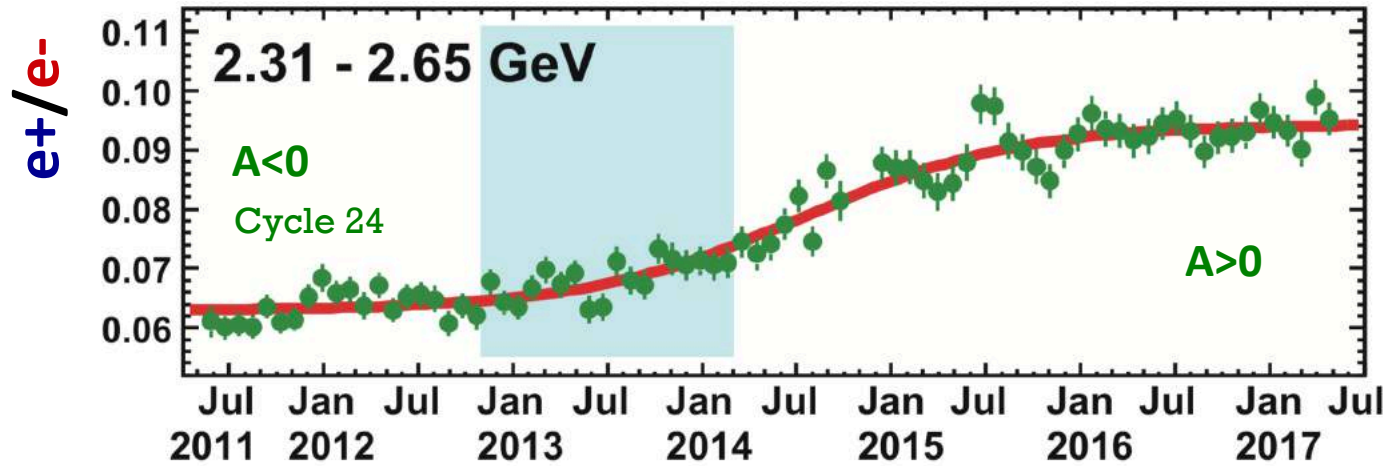
a) modeling of the time-lag

**flux forecast !**

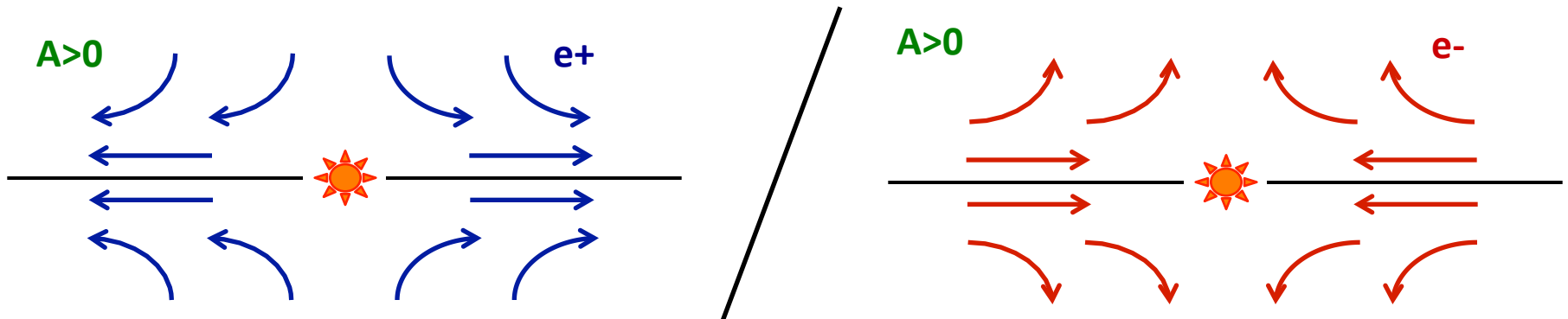
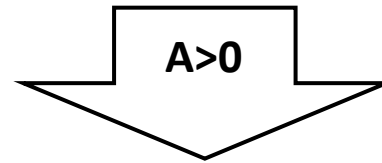
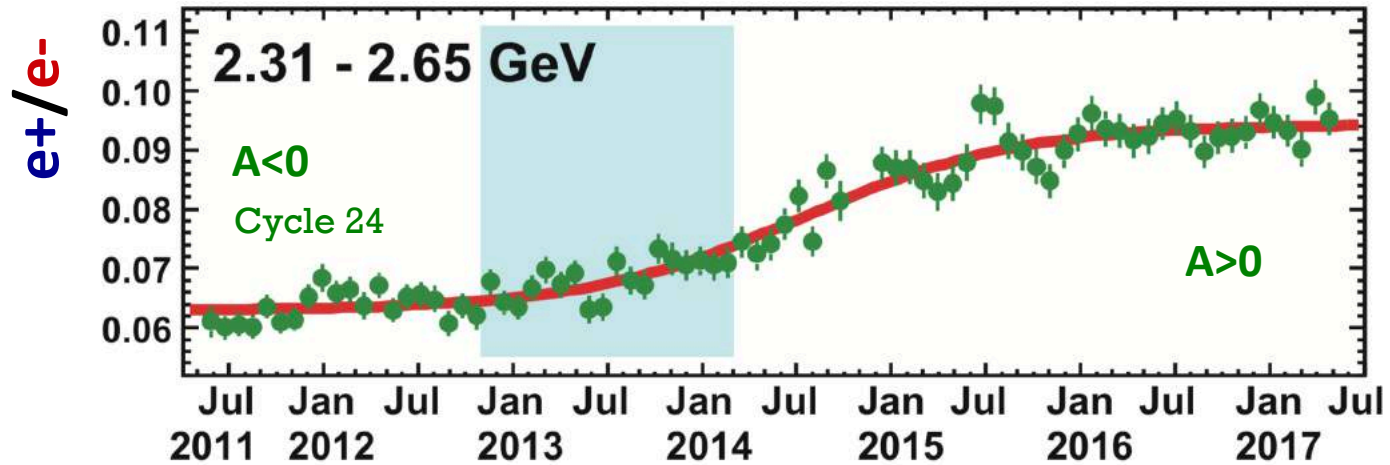
b) modeling of different charge sign particle behaviour (e-/anti-p)

**DM signals !**

# + Same physics behind e<sup>+</sup>/e<sup>-</sup> time evolution



# + Same physics behind $e^+/e^-$ time evolution



Different models to approach the problem:

2D/3D numerical codes (e.g. Burger, Potgieter)

2D/3D stochastic random-walk solutions (more and more popular)

## **Basic stochastic models [2D and steady-state]**

- **SolarProp** (BCTP-Bonn): public, unmaintained. 2D basic, customizable [Kappl 1601.02832]
- **HelioProp** (TUM-Munich): similar to SolarProp. Under development [Vittino+ 1707.09003]
- **HelMod** (Milan): 2D w/ detailed wind/diffusion/drift. GALPROP interface [Boschini+ 2017]
- **NWU models** (NWU, South-Africa) [Strauss et al. *Astrophys. Space Sci* 339, 223 (2012)]

....

## **Advanced stochastic models [3D or time-dependent]**

- Strauss et al. *ApJ* 735, 83 (2011): 3D, focus study on Jovian Electrons.
- Strauss et al. *A&A* 522, A35 (2010): focus on ACR Oxygen and modulation in heliosheath
- Zhang *ApJ* 541, 428 (2000): 3D, skew propagation, DSA at TS, anisotropic diffusion.
- Wawrzynczak+, *J. Phys. Conf. Ser.* 574, 012078 (2015): time-dependent CR transport
- Pei et al. *J. Geophys. Res.* 115, 12107 (2010): time-dependent CR transport

....

Use a public model (SolarProp) explicitly introducing a “time-lag” parameter (Tomassetti et al, *ApJL* 2017)

# + Setting up of the model with a time-lag

$$\frac{\partial f}{\partial t} = \nabla \cdot [\mathbf{K} \cdot \nabla f] - \mathbf{V} \cdot \nabla f - \langle \mathbf{v}_D \rangle \cdot \nabla f + \frac{1}{3} (\nabla \cdot \mathbf{V}) \frac{\partial f}{\partial \ln p} + Q(r, p, t)$$

## Quasi steady-state approach

$$\frac{\partial f}{\partial t} = 0 \quad \text{Stochastic differential Integration method}$$

## Use of “retarded” physics inputs

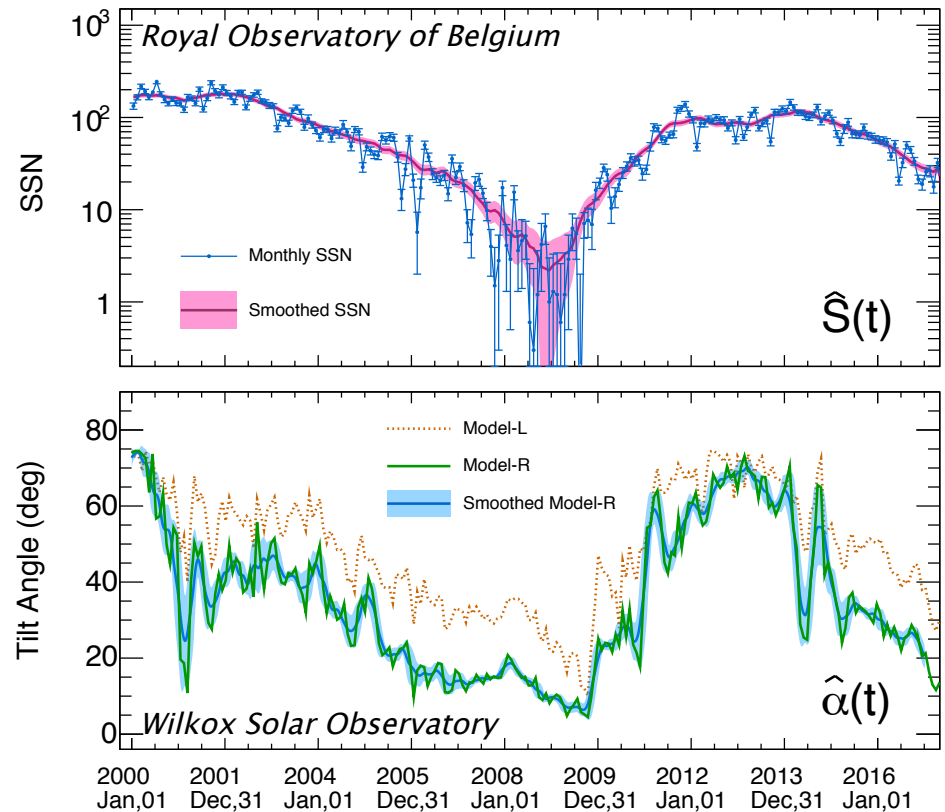
$$k(t) = a \cdot \log_{10}[\hat{s}(t - \Delta T) + b]$$

$$\alpha(t) = \hat{\alpha}(t - \Delta T)$$

## Free parameters TBD by data

$$\chi^2 = \chi^2(a, b, \Delta T)$$

## Solar inputs





# + Global fitting to space CR data

Proton flux data at negative polarity ( $A < 0$ ) between 2000 and 2012

- PAMELA: at  $E = 0.08 - 50$  GeV, from 2006 to 2010 (3.5yrs) monthly resolved
- EPHIN/SOHO: at  $E = 0.5 - 2$  GeV, from 2000 to 2013, yearly resolved
- BESS-Polar I-II: at  $E = 0.1 - 50$  GeV, from two 15-day flights in 2004 and 2008

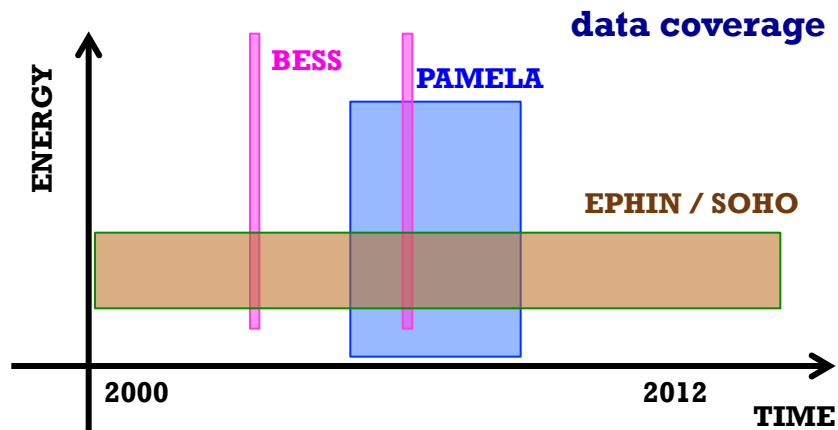
**Global  $\chi^2$  estimator:**

$$\chi^2 = \sum_t \sum_E \left[ \frac{J(E, \alpha(t), \kappa(t)) - \hat{J}(E, t)}{\sigma_{tot}(E, t)} \right]^2$$

**Three free parameters**

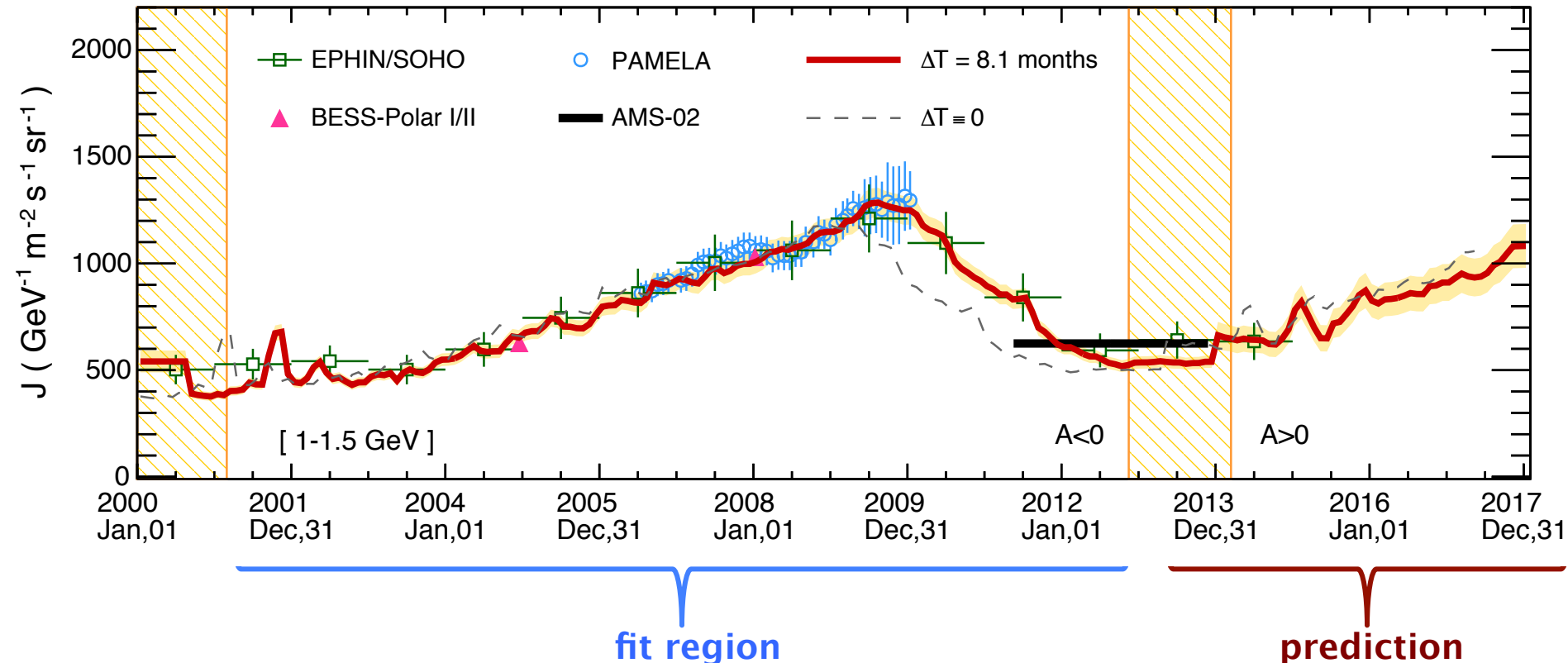
$$\chi^2 = \chi^2(a, b, \Delta t)$$

**nearly 4000 data points**



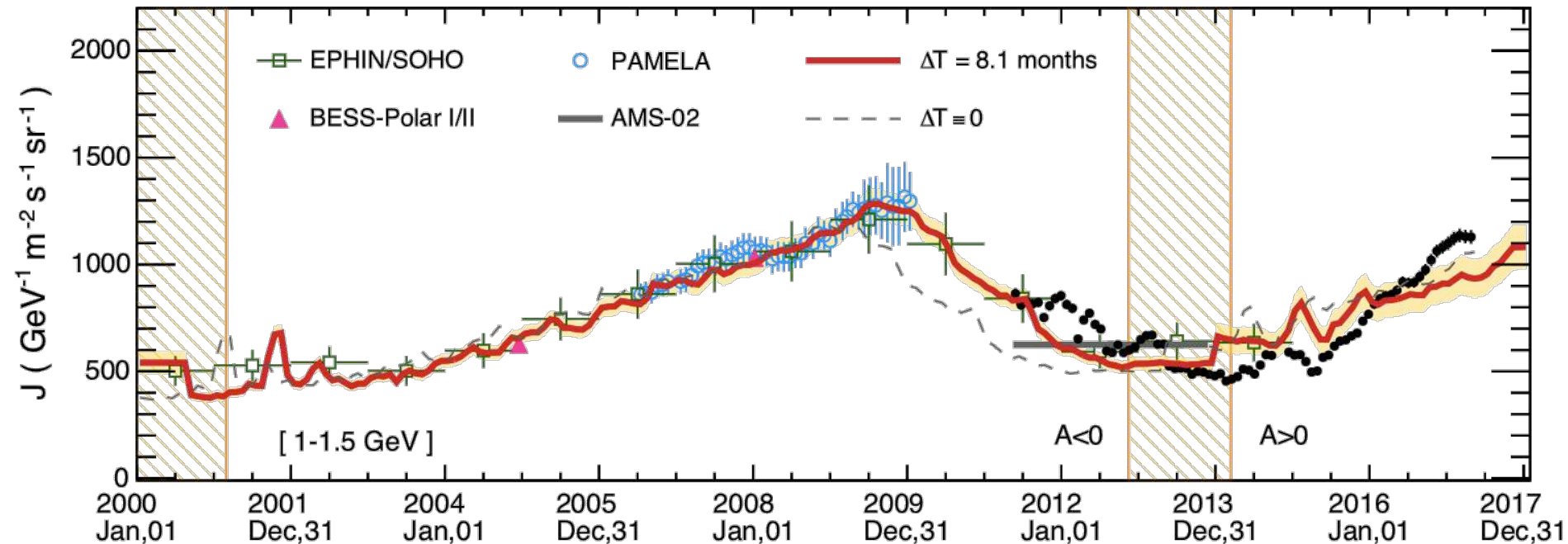
# + Proton flux time profile @ 1 GeV

Data are well described by a  $\Delta T=8.1$  months



✓ Real-time solar-data → ability to *forecast* 8 months in advance

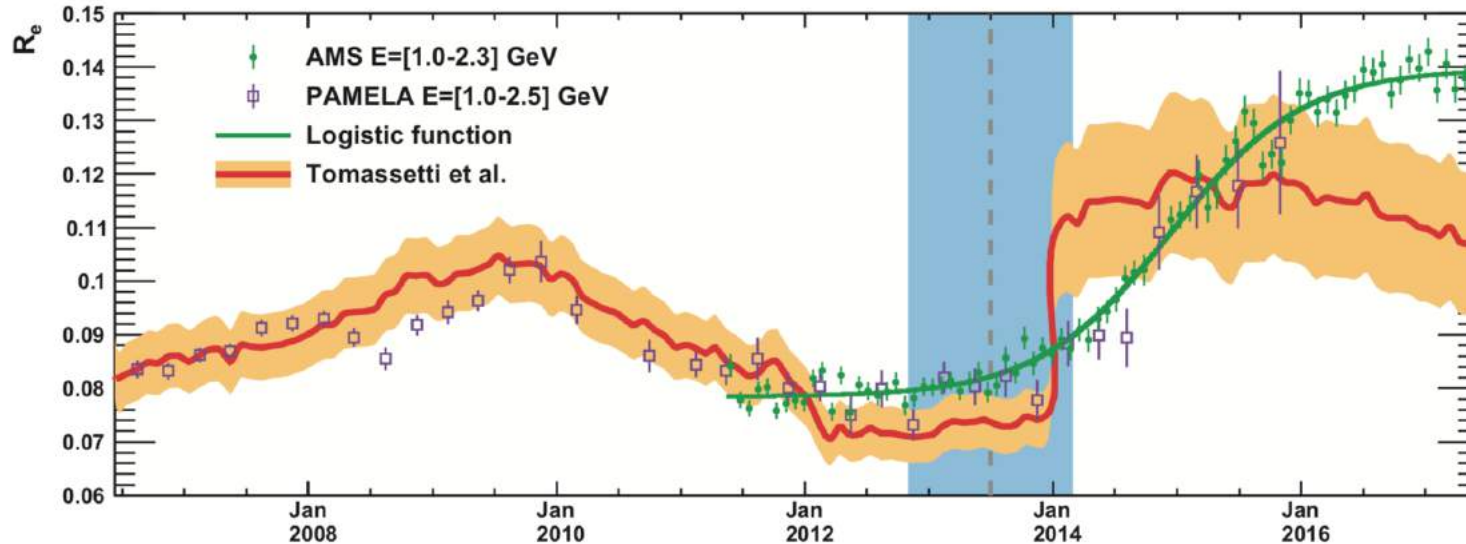
# + Prediction vs measurement?



Model needs to be recalibrated:

- more detailed description of drift(s) at the magnetic field reversal region ?
- different time lags at different energies?

# + Same model : e<sup>+</sup>/e<sup>-</sup>



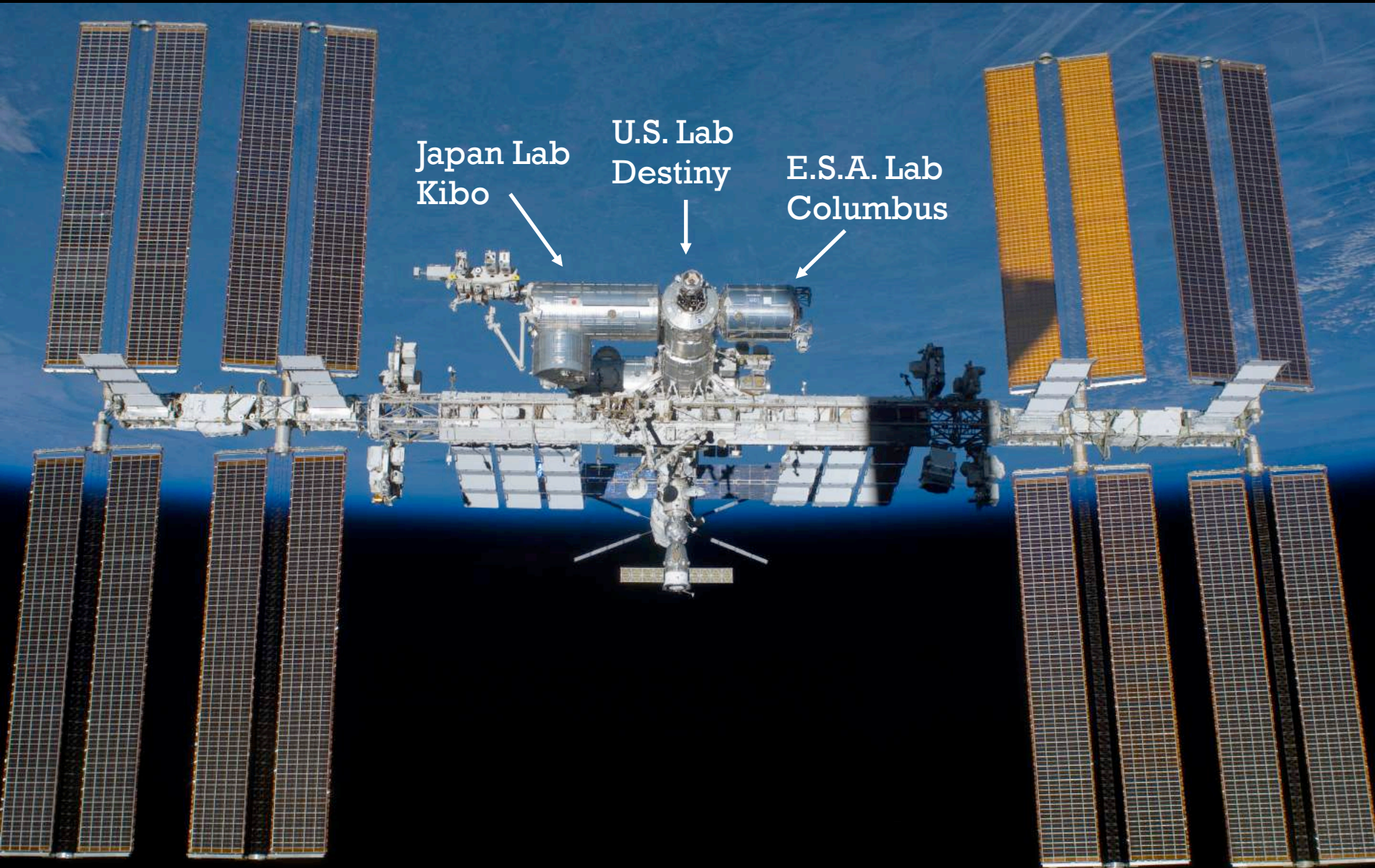
Only AMS data can really “stress” model predictions  
→ long term measurements (at different crossing of B field reversal) are of paramount importance to get reliable understanding of dynamics & forecasting

# Conclusions (3)

- Pursuing a program of fundamental physics AMS is providing new and precise measurements on the radiation environment at 1 A.U.
- Long term measurements from AMS are fundamental to:
  - explore fundamental physics phenomena
  - explore Heliospheric effects on charged particles
  - improve risk assessment in manned exploration missions



# Measuring doses on the ISS



# Comparison of Silicon-Based Detectors

## 22<sup>nd</sup> Workshop for Radiation Monitoring on ISS

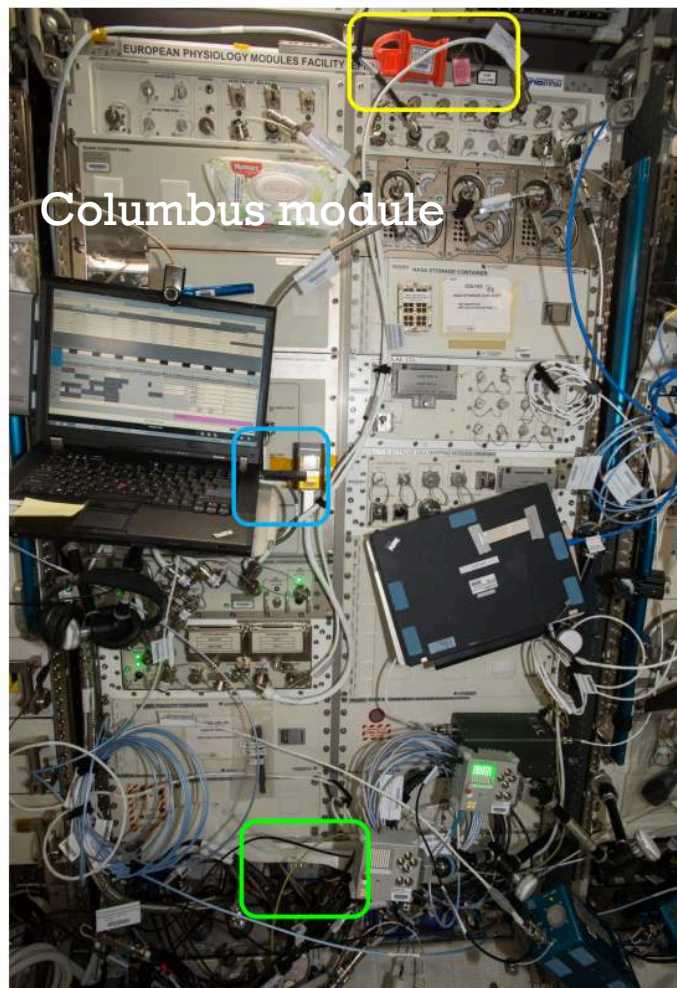
2017-09-06

R. Rios, Ph.D.

Space Radiation  
Analysis Group **NASA**

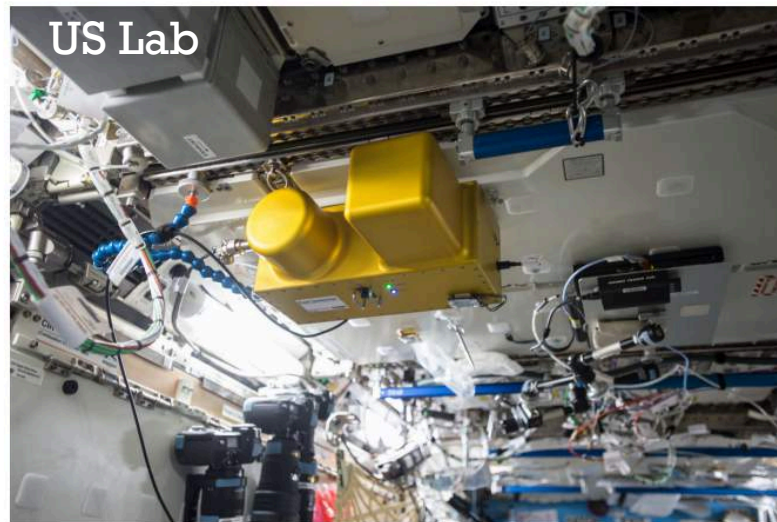






Columbus module

Figure 2: The Passive Detector Packages (PDP) from the DOSIS 3D project and the NASA RAM detectors (yellow); the NASA REM detector in the front of the EPM Rack (blue); the DOSIS-MAIN-BOX beneath the EPM rack (green) with three green status LEDs. (Image, caption courtesy T. Berger, DLR)



US Lab

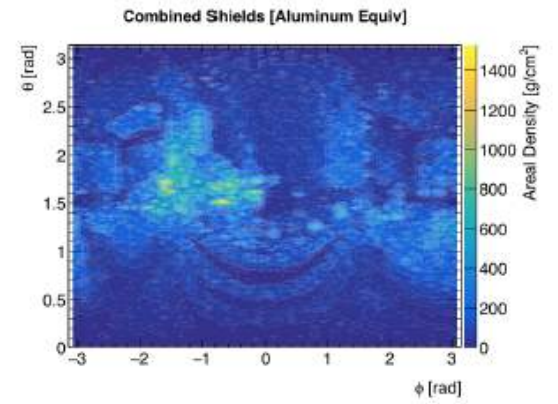
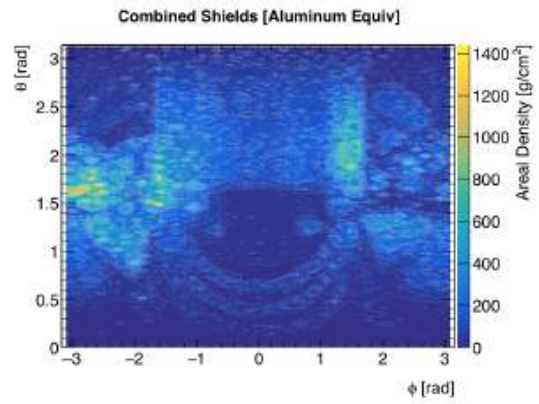
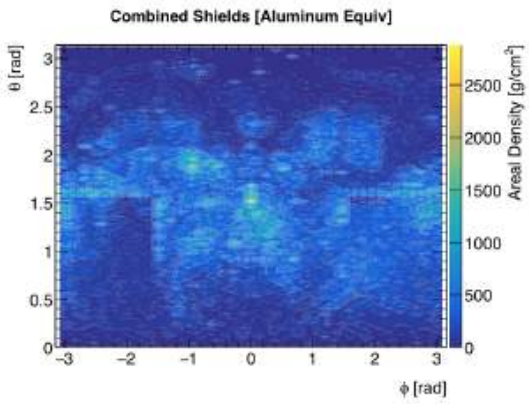
Figure 15: RAD at LAB1 O3.



US Lab

Figure 16: REM<sub>D03</sub><sup>1007</sup>, in the orange box, on SSC9.

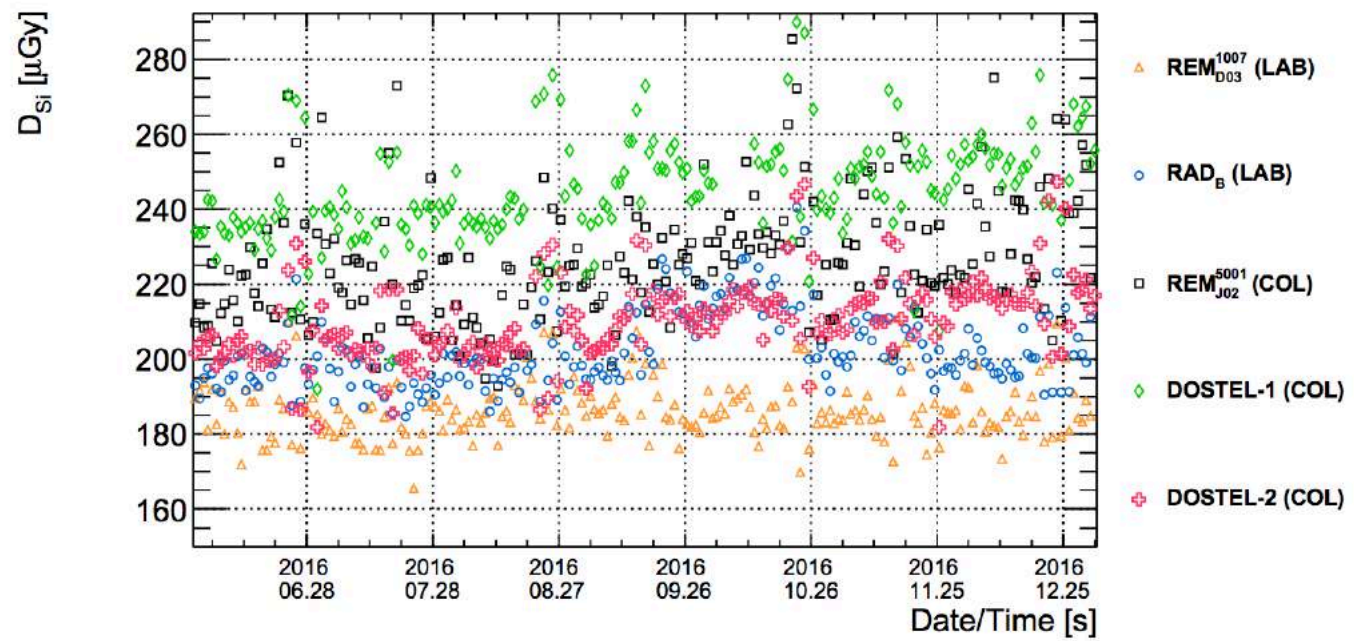




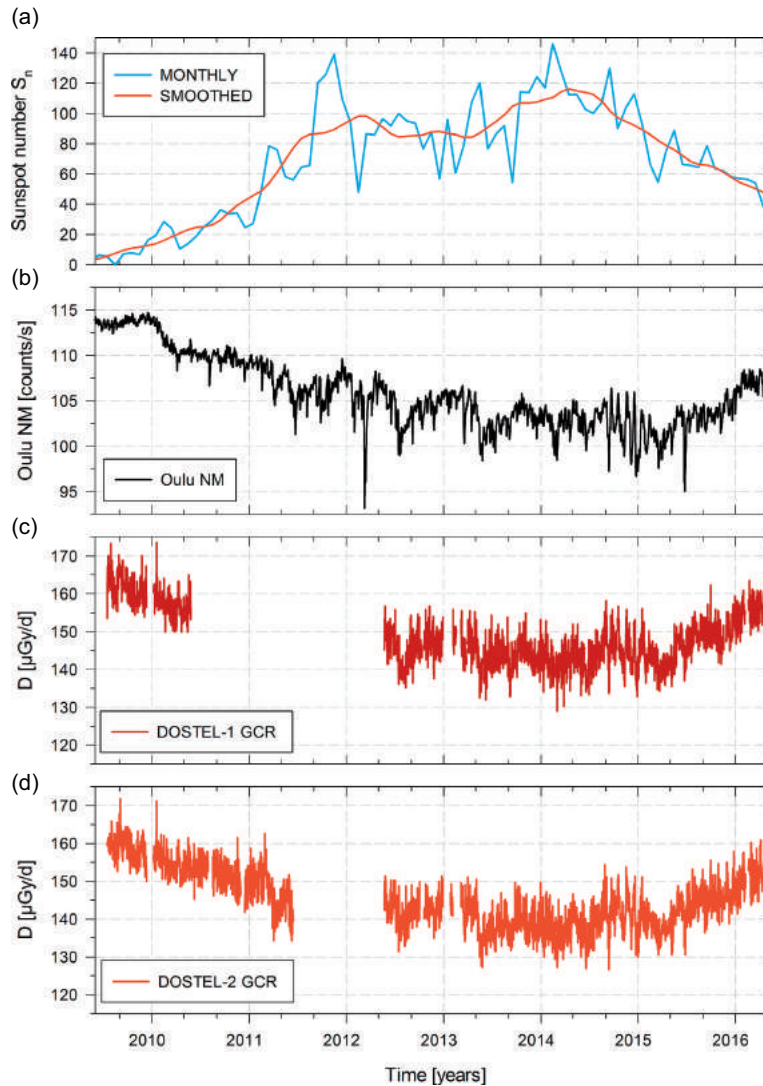
(a) TEPC, RAM, & RAD in US LAB1 O3,  $\rho_A=93.7\text{g/cm}^2$  (Al-eq.)

(b) DOSTEL in COL1,  $\rho_A=50.1\text{g/cm}^2$  (Al-eq.)

(c) RAM in COL1 A3,  $\rho_A=47.0\text{g/cm}^2$  (Al-eq.)



# + Dose measurement aboard the ISS



AMS ?

Berger et al, 2017

# Summary

Matthia @ WRMISS, 2017

- Output of DLR and BO-10/BO-14 model similar (<5%); differences in dose rates  $\leq 5\%$
- Reasonable agreement between different transport models for many particles but severe differences for others
- Calculated total dose rates are compatible with measurements, but in some cases large discrepancies in the contribution of individual particle types
- Promising results for the parameterized model for dose rate in Si and tissue (long term trends)
- Short term behavior not nicely reproduced – What could be used instead of NM data for the primary GCR intensity...?

**Continuous counting rates from AMS could be used in the study of active dosimeters on ISS**





# Conclusions (4)

- Long term measurements from AMS are fundamental to:
  - explore fundamental physics phenomena
  - explore Heliospheric effects on charged particles
  - improve risk assessment in manned exploration missions
- Continuous counting rates from AMS could be used in the study of active dosimeters on ISS